A REMARK ON

THE GENERALIZED

SMASHING CONJECTURE

Bernhard Keller

Using one of Wodzicki's examples of H-unital algebras [14] we exhibit a ring whose derived category contains a smashing subcategory which is not generated by small objects. This disproves the generalization to arbitrary triangulated categories of a conjecture due to Ravenel [8, 1.33] and, originally, Bousfield [2, 3.4].

1. Statement of the conjecture

We refer to [7] for a nicely written analysis of the following setup: Let S be a triangulated category [13] admitting arbitrary (set-indexed) coproducts. An object $X \in S$ is *small* if the functor Hom(X,?) commutes with arbitrary coproducts. We denote the full subcategory on the small objects of S by S^b . We suppose that S^b is equivalent to a small category. A full subcategory of S is localizing if it is a triangulated subcategory in the sense of Verdier which is closed under forming coproducts with respect to S. We

suppose that S is generated by S^b , i.e. coincides with its smallest localizing subcategory containing S^b .

A localizing subcategory $\mathcal{R} \subset \mathcal{S}$ is *smashing* if the inclusion $\mathcal{R} \to \mathcal{S}$ admits a right adjoint commuting with arbitrary coproducts. Suppose that \mathcal{R} is generated by small objects. Since \mathcal{S}^b is equivalent to a small category, the small generators of \mathcal{R} may be assumed to form a set. Hence \mathcal{R} is smashing by Brown's representability theorem [3]. The "generalized smashing conjecture" states the converse (which is disproved below):

Every smashing subcategory is generated by small objects.

Remarks. a) I thank D. Ravenel for pointing out the following facts: The "generalized smashing conjecture" is not the generalization of Ravenel's Smashing Conjecture [8, 10.6], but rather of his conjecture [8, 1.33] due originally to Bousfield [2, 3.4]. This latter conjecture is now known to be false due to the failure of the telescope conjecture [8, 10.5]. The proof of this involves very hard homotopy theory (cf. [10] for an outline of the argument). More information on the conjectures of [8] is to be found in [9].

b) The quotient functor $j^*: \mathcal{S} \to \mathcal{S}/\mathcal{R}$ admits a right adjoint j_* iff the inclusion functor $i_*: \mathcal{R} \to \mathcal{S}$ admits a right adjoint $i^!$, cf. [13]. One easily checks that in this case, the functor j_* commutes with arbitrary coproducts iff the functor $i^!$ does. This leads to an equivalent formulation of the smashing conjecture where the inclusion functor is replaced by the quotient functor.

2. An example

Let A be a ring with unit and $\mathcal{D}A$ the (unbounded) derived category [13] of the category of (right, unitary) A-modules. We identify A-modules with complexes concentrated in degree 0. The unbounded derived category was studied in [12],[1],[5]. It has arbitrary coproducts. An object of $\mathcal{D}A$ is small iff it is isomorphic to a perfect complex (=finite complex of finitely generated projective modules) [11]. Moreover, $\mathcal{D}A$ is generated by the right A-module A. Hence $\mathcal{S} = \mathcal{D}A$ satisfies the above assumptions.

Let I be a two-sided ideal of A and $\mathcal{R} \subset \mathcal{D}A$ the localizing subcategory generated by the right A-module I. Suppose that

- we have $\operatorname{Tor}_i(A/I, A/I) = 0$ for all i > 0 and
- the ideal I is contained in the Jacobson radical of A.

Proposition. The subcategory $\mathcal{R} \to \mathcal{D}A$ is smashing but \mathcal{R} contains no non-zero small object of $\mathcal{D}A$.

Note that if I satisfies both conditions and is moreover finitely generated, then we have I=0, by Nakayama's lemma. In particular, no noetherian ring contains a non-trivial ideal satisfying both conditions. This is not surprising since at least for a *commutative* noetherian ring R the "generalized smashing conjecture" is true, as follows from the algebraic counterpart [6] of Hopkins–Smith's theorem on the classification of thick subcategories [4] (cf. [9] for a comprehensive account).

Now let k be a field and l an integer ≥ 2 . Consider the (non-noetherian) algebra

$$B = k[t, t^{l^{-1}}, t^{l^{-2}}, \dots] = \bigcup_{n=0}^{\infty} k[t^{l^{-n}}]$$

and its augmentation ideal $J \subset B$, which is generated by $t, t^{l^{-1}}, t^{l^{-2}}, \ldots$. This algebra is Wozicki's example 3 of [14, 4.7]. He proved in [loc. cit.] that J is H-unital. Since B is the augmented algebra obtained from J by adjoining a unit, this means that $\operatorname{Tor}_i^B(k,k) = 0$ for all i > 0 (cf. section 3 of [loc. cit.]). Now let A be the localization of B at J and let I be the maximal ideal of A. Localization preserves the vanishing of the Tor and I equals the Jacobson radical of A. Thus I satisfies both conditions.

3. Proof of the proposition

We keep the assumptions preceding the proposition. We refer to [12], [1], [5] for the definition and the basic properties of the unbounded left derived functor $\otimes_A^{\mathbf{L}}$ of the tensor product over A. In particular, this functor commutes with arbitrary coproducts. The proposition is immediate from the two following lemmas.

Lemma 1. The functor $X \mapsto X \otimes_A^{\mathbf{L}} I$ is right adjoint to the inclusion $\mathcal{R} \to \mathcal{S} = \mathcal{D}A$.

Proof. Let X be an object of $\mathcal{D}A$. Consider the triangle

$$X \otimes_A^{\mathbf{L}} I \to X \to X \otimes_A^{\mathbf{L}} (A/I) \to \Sigma(X \otimes_A^{\mathbf{L}} I).$$

We will show that the object $X \otimes_A^{\mathbf{L}} I$ belongs to \mathcal{R} and that the object $X \otimes_A^{\mathbf{L}} (A/I)$ is \mathcal{R} -local, i.e. for each object $R \in \mathcal{R}$ we have $\operatorname{Hom}(R, X \otimes_A^{\mathbf{L}} A/I) = 0$. The assertion of the lemma is immediate from the Hom-sequence associated with the triangle.

For the generator X = A of $\mathcal{D}A$, the object $A \otimes_A^{\mathbf{L}} I = I$ clearly belongs to \mathcal{R} . Since $? \otimes_A^{\mathbf{L}} I$ commutes with arbitrary coproducts, the object $X \otimes_A^{\mathbf{L}} I$ belongs to \mathcal{R} for arbitrary $X \in \mathcal{D}A$. Now we claim that the morphism $R \otimes_A^{\mathbf{L}} I \to R$ is invertible for $R \in \mathcal{R}$. Indeed, since $? \otimes_A^{\mathbf{L}} I$ commutes with arbitrary coproducts, it is enough to check this for X = I. By the above triangle, we only have to show that $I \otimes_A^{\mathbf{L}} A/I = 0$. This is clear from the triangle

$$I \otimes_A^{\mathbf{L}} (A/I) \to A/I \to (A/I) \otimes_A^{\mathbf{L}} (A/I) \to \Sigma (I \otimes_A^{\mathbf{L}} (A/I))$$

since the morphism $A/I \to (A/I) \otimes_A^{\mathbf{L}} (A/I)$ is invertible by the assumption. To prove that $X \otimes_A^{\mathbf{L}} (A/I)$ is \mathcal{R} -local, let $R \in \mathcal{R}$ and $Y \in \mathcal{D}A$. We have $A/I \stackrel{\sim}{\to} (A/I) \otimes_A^{\mathbf{L}} (A/I)$ and thus the morphism $Y \otimes_A^{\mathbf{L}} (A/I) \to (Y \otimes_A^{\mathbf{L}} (A/I)) \otimes_A^{\mathbf{L}} (A/I)$ is invertible as well. Now if $f: R \to Y \otimes_A^{\mathbf{L}} (A/I)$ is a morphism, then by the diagram

$$\begin{array}{cccc} Y \otimes_{A}^{\mathbf{L}} (A/I) & \stackrel{\sim}{\to} & (Y \otimes_{A}^{\mathbf{L}} (A/I)) \otimes_{A}^{\mathbf{L}} (A/I) \\ f \uparrow & & \uparrow f \otimes_{A}^{\mathbf{L}} (A/I) \\ R & \to & R \otimes_{A}^{\mathbf{L}} (A/I) \end{array}$$

we have f = 0 since $R \otimes_A^{\mathbf{L}}(A/I) = 0$ by the invertibility of $R \otimes_A^{\mathbf{L}}I \to R$.

Lemma 2. If $R \in \mathcal{D}A$ is small and belongs to \mathcal{R} , then R = 0.

Proof. We may assume that R is a perfect complex. Since R belongs to \mathcal{R} , the morphism $R \otimes_A^{\mathbf{L}} I \to R$ is invertible (see the proof of lemma 1). So $R \otimes_A^{\mathbf{L}} (A/I) \xrightarrow{\sim} R \otimes_A (A/I)$ is acyclic. On the other hand, $R \otimes_A (A/I)$ is a right bounded complex of projective A/I-modules. Hence it is null-homotopic. We will deduce that R is null-homotopic. We proceed by induction on the

Keller

length of R. If $R=R^0$ is concentrated in degree 0, then R^0 is a finitely generated projective A-module with $R^0\otimes (A/I)=0$. Hence $R^0=0$ by Nakayama's lemma. For general R we may assume that R=0 for i>0. Then $d^{-1}:R^{-1}\to R^0$ induces a split surjection $R^{-1}\otimes (A/I)\to R^0\otimes (A/I)$. Since R^{-1} and R^0 are finitely generated projective, Nakayama's lemma implies that d^{-1} is itself a split surjection. Therefore R is homotopy equivalent to the truncated complex

$$R' = (\dots R \to R^{i+1} \to \dots \to R^{-2} \to \operatorname{Ker} d^{-1} \to 0 \to \dots).$$

By the induction hypothesis, R' is null-homotopic.

Acknowledgments

I am grateful to A. Neeman for his help and encouragment. I thank D. Ravenel for his detailed comments on a first version of this paper, and in particular for explaining the Smashing Conjecture to me and pointing out an error in a previous example.

References

- [1] M. Bökstedt, A. Neeman, Operations in the unbounded derived category, Compositio Math. 86 (1993), 209-234.
- [2] A. K. Bousfield, The localization of spectra with respect to homology, Topology, **18** (1979), 257-281.
- [3] E. H. Brown, Cohomology theories, Ann. of Math., 75 (1962), 467-484.
- [4] M. J. Hopkins, Global methods in homotopy theory, In:
 J. D. S. Jones, E. Rees (editors), Proceedings of the 1985 LMS Symposium on Homotopy Theory, pages 73-96, 1987.
- [5] B. Keller, Deriving DG categories, to appear in Ann. Scient. ENS.
- [6] A. Neeman, The Chromatic Tower for D(R), Topology 31 (1992), 519-532.

Keller

- [7] A. Neeman, The Connection between the K-theory localization theorem of Thomason, Trobaugh and Yao and the smashing subcategories of Bousfield and Ravenel, Ann. Sci. Ecole Norm. Sup. 25 (1992), 547-566.
- [8] D. C. Ravenel, Localization with respect to certain periodic homology theories, Amer. J. of Math. 105 (1984), 351-414.
- [9] D. C. Ravenel, Nilpotence and periodicity in stable homotopy theory, Annals of Math. Studies **128**, Princeton University Press, 1992.
- [10] D. C. Ravenel, Progress report on the telescope conjecture, In: N. Ray, G. Walker (editors), Adams Memorial Symposium on Algebraic Topology, vol. 2, pages 1-21, Cambridge University Press, Cambridge, 1992.
- [11] J. Rickard, Morita theory for Derived Categories, Journal of the London Math. Soc., **39** (1989), 436-456.
- [12] N. Spaltenstein, Resolutions of unbounded complexes, Compositio Mathematica 65 (1988), 121-154.
- [13] J.-L. Verdier, Catégories dérivées, état 0, SGA 4 1/2, Springer LNM, 569, 1977, 262-311.
- [14] M. Wodzicki, Excision in cyclic homology and in rational algebraic K-theory, Ann. of Math. 129 (1989), 591-639.

Bernhard Keller U.F.R. de Mathématiques U.R.A. 748 du CNRS Université Paris 7 2, place Jussieu, 75251 Paris Cedex 05, France keller@mathp7.jussieu.fr