

# On the image of $p$ -adic regulators

**Wiesława Nizioł**

The University of Chicago, Department of Mathematics, 5734 University Avenue,  
Chicago, IL 60637, USA (e-mail: nizioł@math.uchicago.edu)

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## 1 Introduction

Let  $V$  denote a complete discrete valuation ring with a fraction field  $K$  of characteristic 0 and a perfect residue field  $k$  of positive characteristic  $p$ , let  $V_0 = W(k)$  denote the ring of Witt vectors with coefficients in  $k$ , and  $K_0$  its fraction field. Set  $G_K = \text{Gal}(\overline{K}/K)$ . Let  $X$  be a smooth and projective scheme over  $V$ .

For  $i \geq 0$ ,  $2i - n - 1 \geq 0$ , consider the Galois representation  $L = H_{\text{ét}}^n(X_{\overline{K}}, \mathbf{Q}_p(i))$ . In the work of Bloch and Kato on the special values of L-functions of motives [2], the question of describing the images of the Soulé's  $p$ -adic Chern character

$$r_p^{\text{ét}} : K_{2i-n-1}(X) \otimes \mathbf{Q} \rightarrow H^1(G_K, L), \quad \text{for } 2i - n - 1 \geq 1,$$

and of the étale cycle class map  $\text{cl}_X : (CH^i(X) \otimes \mathbf{Q})_{\text{hom} \sim 0} \rightarrow H^1(G_K, L)$  arises. We will show here that, as Bloch and Kato predicted, they are contained in the subgroups  $H_f^1(G_K, L)$  of crystalline extensions. The factorization through  $H_f^1$  of the cycle class map was shown earlier by Nekovář [11] via the de Rham conjecture.

The problem can be reduced to a question of existence and compatibility between various constructions in the theory of  $p$ -adic periods. Briefly, our argument goes as follows. There is a Chern character and a cycle class map into a version of syntomic cohomology  $H_f^*(X, \mathcal{H}(*))$  (introduced by us in [14]) based on convergent crystalline cohomology. The relevant theory of Chern classes in the convergent crystalline cohomology is described in the appendix to this paper.

The map  $h : H_f^n(X, \mathcal{H}(i)) \rightarrow H_{\text{ét}}^n(X_K, \mathbf{Q}_p(i))$ , which we constructed in [14], commutes with the  $K$ -theory characteristic classes, hence with the regulators and cycle classes. This is shown by mapping both the syntomic and the étale

cohomology to the cohomology of the topos of ‘rigid smooth sheaves’ introduced by Faltings in [5]. We show that this last cohomology also carries  $K$ -theory characteristic classes. Now, the standard methods allow to reduce the question to the comparison of Chern classes of line bundles on projective spaces, which can be done explicitly.

Finally, since we have proved earlier [14] that the map  $h$  is compatible with the spectral sequences

$$\begin{aligned} H_f^i(G_K, H_{\text{ét}}^j(X_{\overline{K}}, \mathbf{Q}_p(n))) &\Rightarrow H_f^{i+j}(X, \mathcal{H}(n)), \\ H^i(G_K, H_{\text{ét}}^j(X_{\overline{K}}, \mathbf{Q}_p(n))) &\Rightarrow H_{\text{ét}}^{i+j}(X_K, \mathbf{Q}_p(n)), \end{aligned}$$

we are done.

I would like to thank Spencer Bloch for very helpful conversations related to the subject of this paper.

Throughout the paper, for a scheme  $X$ ,  $\mathcal{X}$  will denote the associated formal scheme.

## 2 $p$ -adic cohomologies

### 2.1 $f$ -cohomology

Choose a uniformizer  $\pi$  of  $V$ . Let  $\mathbf{L}$  be the category of smooth and quasi projective schemes over  $V$ . We will define a cohomology theory  $H_f$  on  $\mathbf{L}$  generalizing the groups  $H_f^1$  of Bloch and Kato [2].

For  $X \in \mathbf{L}$ , let  $(X_k/V)_{\text{conv}}$  be the convergent topos of Ogus [15] and let  $\mathcal{H}_{X_k/V}$  be its structure isocrystal. Let  $\Omega_X^\bullet$  be the natural resolution of  $\mathcal{H}_{X_k/V}$  on  $(X_k/V)_{\text{conv}}$  by sheaves acyclic for the projection  $u_{X_k/V}: (X_k/V)_{\text{conv}} \rightarrow X_k, \text{Zar}$  to the Zariski topos of  $X_k$ . We have  $u_{X_k/V*} \Omega_X^\bullet \simeq \mathcal{O}_{\mathcal{X}}[1/p] \otimes \Omega_{X/V}^\bullet$ , and we set  $F_X^n := \Omega_X^{\geq n}$ . There are natural embeddings  $\mathcal{H}_{X_k/V} \hookrightarrow \Omega_X^\bullet$  and  $F_X^n \hookrightarrow \Omega_X^\bullet$ , and a map  $\phi_n: \phi^* \mathcal{H}_{X_k/V_0} \rightarrow \mathcal{H}_{X_k/V_0}$ ,  $\phi_n = p^{-n} \text{Id}$ , where  $\phi$  is the Frobenius on  $(X_k/V_0)_{\text{conv}}$ .

The functors  $H_f$  are hypercohomologies of certain complexes of sheaves of differential forms in the Zariski topology. For a small affine  $\text{Spec}(R) \in \mathbf{L}$  (small means that there is an étale map  $V[T_1^{\pm 1}, \dots, T_d^{\pm 1}] \rightarrow R$ ), let  $C(R)$  denote a set of data consisting of closed embeddings of  $\text{Spec}(R)$  into an affine space  $\mathbb{A}_{V_0}^n = \text{Spec}(R')$  and into an affine space  $\mathbb{A}_{V_0}^{n'} = \text{Spec}(R'')$ , a  $V_0$ -morphism  $\alpha: R' \rightarrow R''$  over the identity on  $\text{Spec}(R)$ , and a Frobenius lift  $\phi$  on  $\widehat{R}'$ . Note that, for a small affine  $\text{Spec}(R) \in \mathbf{L}$ , the collection of closed embeddings  $(R, C(R))$  (with maps given by linear maps on the affine spaces inducing the identity on  $\text{Spec}(R)$ ) forms a cofiltered family (compute the coincidence schemes in the category of flat schemes).

Having a pair  $(R, C(R))$ , we will denote by  $T', T''$  the widenings

$$T'' = (R''^\wedge, I''^\wedge, R/\pi R \xrightarrow{\text{Id}} R/\pi R), \quad T' = (R'^\wedge, I'^\wedge, R/\pi R \xrightarrow{\text{Id}} R/\pi R),$$

where  $I''$  is the ideal of  $R/\pi R$  in  $R''$  and  $R''^\wedge$  denotes the  $I''$ -adic completion of  $R''$ . Similarly for  $T'$ .

Denote by  $\mathcal{S}^\bullet(n)$  the complex of sheaves on the large Zariski site of  $\mathbb{L}$  associated to the complex of presheaves of abelian groups sending small affine  $\text{Spec}(R)$  to  $\text{inj} \lim_{(R, C(R))} \Omega_{(R, C(R))}^\bullet(\mathcal{K}(n))$ , where we set

$$\begin{aligned} \Omega_{(R, C(R))}^\bullet(\mathcal{K}(n)) &:= \text{Cone}(\mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus F^n \Omega_{R''/V}^\bullet \xrightarrow{\beta} \mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \\ &\oplus \mathcal{K}_{T''} \otimes \Omega_{R''/V}^\bullet)[-1], \end{aligned}$$

with

$$F^n \Omega_{R''/V}^\bullet = J^{(n)} \otimes \Omega_{R''/V}^0 \rightarrow J^{(n-1)} \otimes \Omega_{R''/V}^1 \rightarrow \dots,$$

where  $J^{(i)}$  is the filtration of  $\mathcal{K}_{T''}$  defined in [14], and  $\beta(x, y) = (x - \phi_n(x), x - y)$ . Here, to define the restriction map for a morphism  $\text{Spec}(S) \rightarrow \text{Spec}(R)$ , we choose a presentation of  $S$ ,  $S \simeq R[X_1, \dots, X_k]/I$ , and take the induced from  $\text{Spec}(R)$  embeddings into affine spaces. This definition is easily seen to be independent of the presentation chosen. Since  $\mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \simeq i_* Ru_{(R/\pi)/V_0} \mathcal{K}_{(R/\pi)/V_0}$  and  $F^n \Omega_{R''/V}^\bullet \simeq i_* Ru_{(R/\pi)/V} F_R^n$ , for any  $X \in \mathbb{L}$ , there is a distinguished triangle

$$(1) \quad \mathcal{S}^\bullet(n)_X \xrightarrow{\varepsilon_{V_0} \oplus \varepsilon_V} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} F_X^n$$

$$(2) \quad \xrightarrow{\beta} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} \mathcal{K}_{X_k/V} \rightarrow ,$$

where  $i: X_{k, \text{Zar}} \hookrightarrow X_{\text{Zar}}$ . There is also an anticommutative and associative multiplication on  $\mathcal{S}^\bullet(n)$  induced (as in [14]) by the de Rham product on  $\Omega_{R'/V_0}^\bullet$  and  $\Omega_{R''/V}^\bullet$ . More precisely, it comes from a homotopic family of maps of complexes

$$\begin{aligned} \cup_\alpha : \Omega_{(R, C(R))}^\bullet(\mathcal{K}(n)) \otimes \Omega_{(R, C(R))}^\bullet(\mathcal{K}(m)) &\rightarrow \Omega_{(R, C(R))}^\bullet(\mathcal{K}(n+m)), \quad \alpha \in \mathbf{Z}_p, \\ (x_1, x_2, x_3, x_4) \cup_\alpha (y_1, y_2, y_3, y_4) & \\ = (x_1 \cup y_1, x_2 \cup y_2, & \\ x_3 \cup (\alpha y_1 + (1-\alpha)\phi_m(y_1)) + (-1)^{\text{deg } x_1} & \\ ((1-\alpha)x_1 + \alpha\phi_n(x_1)) \cup y_3, & \\ x_4 \cup (\alpha y_1 + (1-\alpha)y_2) + (-1)^{\text{deg } x_1} & \\ ((1-\alpha)x_1 + \alpha x_2) \cup y_4). & \end{aligned}$$

Let  $X \in \mathbb{L}$ . Consider the maps

$$\begin{aligned} \varepsilon : \mathcal{S}^\bullet(n)_X &\xrightarrow{\varepsilon_{V_0}} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \xrightarrow{(1,1)} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} \mathcal{K}_{X_k/V}, \\ \varepsilon' : \mathcal{S}^\bullet(n)_X &\xrightarrow{\varepsilon_{V_0} \oplus \varepsilon_V} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} F_X^n \\ &\xrightarrow{(\phi_n, 1)} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} \mathcal{K}_{X_k/V} \end{aligned}$$

( $\varepsilon = \varepsilon'$  in the derived category). Here, for two maps  $f: A \rightarrow B$ ,  $g: A \rightarrow C$ ,  $(f, g): A \rightarrow B \oplus C$  denotes the map sending  $a \in A$  to  $(f(a), g(a)) \in B \oplus C$ ,

and 1 stands for the identity. They induce products

$$\begin{aligned} \cup_{V_0} : \mathcal{S}^\bullet(n)_X \otimes^{\mathbf{L}} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} &\xrightarrow{\cup(\varepsilon_{V_0} \otimes 1)} i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0}, \\ \cup_V : \mathcal{S}^\bullet(n)_X \otimes^{\mathbf{L}} i_* Ru_{X_k/V} F_X^m &\xrightarrow{\cup(\varepsilon_V \otimes 1)} i_* Ru_{X_k/V} F_X^{n+m}, \\ \cup' : \mathcal{S}^\bullet(n)_X \otimes^{\mathbf{L}} (i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} \mathcal{K}_{X_k/V}) & \\ \xrightarrow{(\cup \oplus \cup)(\varepsilon \otimes 1)} (i_* Ru_{X_k/V_0} \mathcal{K}_{X_k/V_0} \oplus i_* Ru_{X_k/V} \mathcal{K}_{X_k/V}), & \end{aligned}$$

which, as we can easily check, together with  $\cup_1$  define operations of  $\mathcal{S}^\bullet(n)_X$  on the distinguished triangle 1, which are compatible with the morphisms.

Set  $H_f(X, \mathcal{K}(n)) := R\Gamma(X, \mathcal{S}^\bullet(n))$ . This definition is easily checked to be independent of all the choices made.

**Lemma 2.1.** *For any  $X \in \mathcal{L}$ , there is a canonical quasi isomorphism*

$$H_f(X, \mathcal{K}(n)) \simeq H_f(X, \mathcal{K}_{X_k/V} \{-n\}),$$

where  $H_f(X, \mathcal{K}_{X_k/V} \{-n\})$  is the cohomology defined in [14].

*Proof.* Let  $\text{Spec}(R_i)$ ,  $i \in I$ , be a covering of  $X$  by small affine and fix embeddings  $C(R_i)$ ,  $i \in I$ . Then, by filtered cohomological descent,

$$\begin{aligned} R\Gamma(X, \mathcal{S}^\bullet(n)) &\simeq \text{Cone}(H((X_k/V_0)_{\text{conv}}, \mathcal{K}_{X_k/V_0}) \oplus H((X_k/V)_{\text{conv}}, F_X^n)) \\ &\xrightarrow{\beta} H((X_k/V_0)_{\text{conv}}, \mathcal{K}_{X_k/V_0}) \oplus H((X_k/V)_{\text{conv}}, \mathcal{K}_{X_k/V})[-1] \\ &\simeq \text{Cone}(\mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus F^n \Omega_{R''/V}^\bullet) \\ &\xrightarrow{\beta} \mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus \mathcal{K}_{T''} \otimes \Omega_{R''/V}^\bullet[-1] \\ &\simeq H_f(X, \mathcal{K}_{X_k/V} \{-n\}) \quad \square \end{aligned}$$

There exist morphisms  $c_0^f : \mathbf{Q}_p \rightarrow \mathcal{S}^\bullet(0)$ ,  $c_1^f : \mathcal{O}^*[-1] \rightarrow \mathcal{S}^\bullet(1)$  in  $\mathbf{D}^+(\mathbf{L}_{\text{ZAR}})$ . The morphism  $c_0^f$  is induced by the canonical map  $\mathbf{Q}_p \rightarrow \mathcal{K}_{T'}$ . It is the unit for our multiplication. Concerning  $c_1^f$ , it suffices to define a compatible family of maps  $R^*[-1] \rightarrow \Omega_{(R,C(R))}^\bullet(\mathcal{K}(1))$ . For a pair  $(R, C(R))$ , define the map

$$\begin{aligned} R^*[-1] &\rightarrow \text{Cone}(\mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus F^1 \Omega_{R''/V}^\bullet \\ &\rightarrow \mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus \mathcal{K}_{T''} \otimes \Omega_{R''/V}^\bullet)[-1] \end{aligned}$$

as the composition

$$\begin{aligned} R^*[-1] &\rightarrow \text{Cone}((R/\pi)^* \oplus R^* \xrightarrow{-1+1} (R/\pi)^*)[-2] \\ &\xrightarrow{\sim} \text{Cone}(\text{Cone}((1 + I'^\wedge) \xrightarrow{-1} (R'^\wedge)^*) \oplus \text{Cone}((1 + J''^\wedge) \xrightarrow{-1} \tilde{R}^*)) \\ &\xrightarrow{-1+1} \text{Cone}((1 + I''^\wedge) \xrightarrow{-1} R''^\wedge)^*[-2] \\ &\xrightarrow{\omega} \text{Cone}(\mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus F^1 \Omega_{R''/V}^\bullet \\ &\rightarrow \mathcal{K}_{T'} \otimes \Omega_{R'/V_0}^\bullet \oplus \mathcal{K}_{T''} \otimes \Omega_{R''/V}^\bullet)[-1], \end{aligned}$$

where  $J''$  is the ideal of  $R$  in  $R''$  and  $\tilde{R}$  is the  $J''$ -adic completion of  $R''$ . Here the map  $\omega$  is defined in degree 0 by

$$\begin{aligned} \log \oplus \log : (1 + I'^{\wedge}) \oplus (1 + J''^{\wedge}) &\rightarrow \mathcal{H}_{T'} \otimes \Omega_{R'/V_0}^0 \oplus J^{(1)} \otimes \Omega_{R''/V}^0, \quad \text{by} \\ R'^{\wedge*} \oplus \tilde{R}^* \oplus (1 + I'^{\wedge}) &\rightarrow \mathcal{H}_{T'} \otimes \Omega_{R'/V_0}^1 \oplus \mathcal{H}_{T''} \otimes \Omega_{R''/V}^1 \oplus \mathcal{H}_{T'} \\ &\quad \otimes \Omega_{R'/V_0}^0 \oplus \mathcal{H}_{T''} \otimes \Omega_{R''/V}^0, \\ (x, y, z) &\rightarrow (\mathrm{dlog} x, \mathrm{dlog} y, p^{-1} \log(\phi(x)/x^p), \log z) \end{aligned}$$

in degree 1, and by  $\mathrm{dlog} : R''^{\wedge*} \rightarrow \mathcal{H}_{T''} \otimes \Omega_{R''/V}^1$  in degree 2.

**Lemma 2.2.** *Let  $\mathcal{L}$  be an invertible sheaf on  $X$  and  $c_1^f(\mathcal{L})$  its Chern class in  $H_f^2(X, \mathcal{K}(1))$ . Then  $\varepsilon_{V_0}(c_1^f(\mathcal{L})) \in H^2((X_k/V_0)_{\mathrm{conv}}, \mathcal{K}_{X_k/V_0})$  and  $\varepsilon_V(c_1^f(\mathcal{L})) \in H^2((X_k/V)_{\mathrm{conv}}, F_X^1)$  are the crystalline Chern classes of  $i^* \mathcal{L}$ ,  $i : X_k \hookrightarrow X$ , and  $\mathcal{L}$  respectively.*

*Proof.* Concerning  $\varepsilon_{V_0}$ , it suffices to show that it is compatible with  $c_1^f$  and the convergent topos map  $c_1$  (see the appendix). Locally, let  $\mathrm{Spec}(R)$  be an affine open of  $X$ . Then we need to show that, for every embedding  $C(R)$ , the following diagram commutes

$$\begin{array}{ccc} (R/\pi)^*[-1] & \xleftarrow{\sim} & \mathrm{Cone}(1 + I'^{\wedge} \xrightarrow{-1} (R'^{\wedge})^*)[-1] \\ c_1 \downarrow & & (\log \mathrm{dlog}) \downarrow \\ Ru_{(R/\pi)/V_0} \mathcal{K}_{(R/\pi)/V_0} & \xrightarrow{\sim} & \mathcal{H}_{T'} \otimes \Omega_{R'/V_0}^{\bullet}, \end{array}$$

what follows from Lemma A.1. The statement about  $\varepsilon_V$  follows similarly from Lemma A.2.  $\square$

**Proposition 2.1.** *Let  $n > 0$ ,  $\xi = c_1^f(\mathcal{O}(1)) \in H_f^2(\mathbf{P}_V^n, \mathcal{K}(1))$ . For  $X \in \mathcal{L}$ , take  $\pi_{\mathbf{P}_V^n}$ ,  $\pi_X$  to be the projections of  $\mathbf{P}_X^n$  onto  $\mathbf{P}_V^n$  and  $X$ , then the natural map*

$$\oplus_i \pi_{\mathbf{P}_V^n}^*(\xi)^i \cup \pi_X^* : \bigoplus_{i=0}^n \mathcal{S}^*(j-i)_X[-2i] \rightarrow R\pi_{X*} \mathcal{S}^*(j)_{\mathbf{P}_X^n}$$

is an isomorphism for all integers  $j$ .

*Proof.* It follows easily from the distinguished triangle 1, compatibility of our products with the maps in this triangle, compatibility of the  $f$ -cohomology  $c_1$ 's with the crystalline  $c_1$ 's (Lemma 2.2), and the projective space theorems (Propositions A.1, A.2) in the (filtered) convergent crystalline cohomology.  $\square$

Like in [8, 2.2], we can now construct universal classes  $C_{i,n}^f \in H_f^{2i}(B.GL_n/V, \mathcal{K}(i))$  (classes of the universal locally free sheaf on  $B.GL_n/V$ ). This yields

**Proposition 2.2.** *There exists a unique theory of Chern classes, which to every simplicial smooth quasi projective scheme  $X$  over  $V$  and every locally free sheaf of finite type  $\mathcal{E}$  on  $X$  associates an element  $c^f(\mathcal{E}) = \prod_i c_i^f(\mathcal{E}) \in \prod_i H_f^{2i}(X, \mathcal{K}(i))$  such that  $c_0^f$  and  $c_1^f$  for invertible sheaves are induced by the above  $c_0^f$  and  $c_1^f$ , and the usual functoriality and additivity properties hold.*

*Proof.* The only nontrivial point is the additivity property. By the argument of [8, 2.10] it suffices to check it in the universal case, i.e., over  $X = B.GL(n, m)/V$ .

First, we claim that the odd degree cohomology groups  $H^{2*+1}((X_k/V_0)_{\text{conv}}, \mathcal{K}_{X_k/V_0}) = H^{2*+1}((X_k/V)_{\text{conv}}, \mathcal{K}_{X_k/V})$  are trivial. Indeed, the simplicial scheme  $X$  being smooth and affine, it suffices to show that  $H_{dR}^{2*+1}(B.GL(n, m)/W_l(k)) = 0$ , where the subscript  $l$  denotes the reduction mod  $p^l$ . Since we have a good theory of Chern classes in the de Rham cohomology, arguing like in the proof of Proposition 3.4, we get that, by Dold–Thom isomorphism,  $H_{dR}^*(B.GL(n, m)/W_l(k)) \hookrightarrow H_{dR}^*(B.B_{n+m}/W_l(k))$ . On the other hand, the same computation as in the proof of the Proposition 3.4 yields that  $H_{dR}^*(B.GL_{n+m}/W_l(k)) \hookrightarrow H_{dR}^*(B.B_{n+m}/W_l(k))$  as well. In fact, iterating the Dold–Thom isomorphism, we get that  $H_{dR}^*(B.B_{n+m}/W_l(k))$  is a  $H_{dR}^*(B.GL_{n+m}/W_l(k))$ -algebra generated by Chern classes of some vector bundles over  $B.B_{n+m}/W_l(k)$ . Since we know that

$$H_{dR}^*(B.GL_{n+m}/W_l(k)) \simeq W_l(k)[x_1, \dots, x_{n+m}], \quad x_i \in H_{dR}^{2i}(B.GL_{n+m}/W_l(k)),$$

that proves our claim.

Next, the long exact sequence

$$\begin{aligned} \rightarrow H_f^i(X, \mathcal{K}(j)) &\rightarrow H^i((X_k/V_0)_{\text{conv}}, \mathcal{K}_{X_k/V_0}) \oplus H^i((X_k/V)_{\text{conv}}, F_X^j) \\ &\rightarrow H^i((X_k/V_0)_{\text{conv}}, \mathcal{K}_{X_k/V_0}) \oplus H^i((X_k/V)_{\text{conv}}, \mathcal{K}_{X_k/V}) \\ &\rightarrow H_f^{i+1}(X, \mathcal{K}(j)) \rightarrow \end{aligned}$$

implies that

$$\begin{aligned} H_f^{2i}(B.GL(n, m)/V, \mathcal{K}(i)) &\hookrightarrow H^{2i}((X_k/V_0)_{\text{conv}}, \mathcal{K}_{X_k/V_0}) \\ &\oplus H^{2i}((X_k/V)_{\text{conv}}, \mathcal{K}_{X_k/V}). \end{aligned}$$

Since this inclusion is compatible with the theory of Chern classes for vector bundles on  $B.GL(n, m)/V$  (Lemma 2.2) and we know additivity in the (filtered) convergent cohomology (Proposition A.2), we are done.  $\square$

**Theorem 2.1.** *For every  $X \in \mathfrak{L}$  and integer  $j \geq 0$ , there exist functorial maps*

$$c_j^f = \prod c_{ij}^f : K_j(X) \rightarrow \prod H_f^{2i-j}(X, \mathcal{K}(i)),$$

*such that  $c_0^f$  coincides with the above Chern classes and  $c_j^f$ , for  $j > 0$ , is*

a group homomorphism. Moreover, the induced Chern character

$$r_p^f = \text{ch} : K_j(X) \rightarrow \prod_{i \geq 0} H_f^{2i-j}(X, \mathcal{K}(i))$$

is a ring homomorphism.

*Proof.* As in [8]. □

### 2.2 Étale cohomology

Recall [5], that étale cohomology can be computed via the topos of “sheaves of local systems”. We will need here its large version. Let  $X$  be a smooth, separated scheme of finite type over  $V$ .

Let  $\tilde{X}_{\text{ZAR}}$  be the following topos. An object of  $\tilde{X}_{\text{ZAR}}$  is a collection  $L = ((L_Y), (r_{Y_1 Y_2}))$  of locally constant étale sheaves  $L_Y$  on  $Y_K$ , for every smooth, separated scheme  $Y$  over  $X$  and, for every pair  $f : Y_2 \rightarrow Y_1$ , a morphism  $r_{Y_1 Y_2} : f_{Y_1 Y_2}^* L_{Y_1} \rightarrow L_{Y_2}$  such that  $r_{Y_2 Y_3} r_{Y_1 Y_2} = r_{Y_1 Y_3}$  and  $r_{YY} = \text{Id}$ . One also requires that for every truncated Zariski hypercovering  $U_1 \rightrightarrows U_0 \rightarrow U$ ,  $L_U$  is the maximal locally constant subsheaf of  $\ker(j_{0*} L_{U_0} \rightrightarrows j_{1*} L_{U_1})$ , where  $j_i : (U_i)_K \rightarrow U_K$ . Morphism  $f : L \rightarrow M$  in  $\tilde{X}_{\text{ZAR}}$  is a collection of morphisms of locally constant sheaves  $f_Y : L_Y \rightarrow M_Y$  compatible with  $r_{Y_1 Y_2}$ 's. Here, locally constant étale sheaf on  $Y$  means an element of the Ind-category of finite étale covers of  $Y$ .

We will also denote by  $\tilde{X}_{\text{ZAR}}$  the equivalent topos, where all  $Y$ 's are assumed to be affine. In particular, every locally constant sheaf  $L$  on  $X_K$  defines a sheaf in  $\tilde{X}_{\text{ZAR}}$ , and one proves [5, III.c] that the étale cohomology  $H_{\text{ét}}(X_K, L)$  is isomorphic to  $H(\tilde{X}_{\text{ZAR}}, L)$ .

There is a left exact functor  $\psi_{\text{ZAR}}$  from  $\tilde{X}_{\text{ZAR}}$  to the large Zariski topos of  $X$ , sending  $L$  to the sheaf  $Y \mapsto L_Y(Y_K)$ . We have  $H(\tilde{X}_{\text{ZAR}}, L) = H(X_{\text{ZAR}}, R\psi L)$ . Let  $\mathcal{E}_k^*(n)$  and  $\mathcal{E}^*(n)$  be the following complexes of sheaves in  $\mathbf{D}^+(\mathbb{L}_{\text{ZAR}})$

$$\mathcal{E}_k^*(n) := R\psi_{\text{ZAR}} \mu_k(n), \quad \mathcal{E}^*(n) := \mathbf{Q}_p \otimes^{\mathbf{L}} R \varprojlim R\psi_{\text{ZAR}}(\mu_k(n))_k,$$

where  $\mu_k(n)$  is the  $n$ 'th twist of  $p^k$ -roots of unity on  $\tilde{\mathbb{L}}_K$ . There is a compatible family of multiplications on  $\mathcal{E}_k^*(n)$  and  $\mathcal{E}^*(n)$ , which is easily seen to be associative and anticommutative. Set  $H(\tilde{X}, \mathbf{Q}_p(n)) := H(X, \mathcal{E}^*(n))$ .

We will need an analogous topos  $\tilde{X}_{\text{Et}}$ , where we put the sheaf condition via the étale instead of the Zariski topology. We have the commutative diagram

$$\begin{array}{ccc} \tilde{X}_{\text{Et}} & \xrightarrow{\tilde{e}_*} & \tilde{X}_{\text{ZAR}} \\ \psi_{\text{Et}} \downarrow & & \downarrow \psi_{\text{ZAR}} \\ X_{\text{Et}} & \xrightarrow{e_*} & X_{\text{ZAR}} \end{array}$$

**Lemma 2.3.** *For any locally constant  $p$ -torsion sheaf  $L$  on  $X_{K, \text{Et}}$  and the map  $j: X_{K, \text{Et}} \rightarrow X_{\text{Et}}$ , there are canonical quasi isomorphisms*

$$(i) \quad Rj_*L \simeq R\psi_{\text{Et}}L, \quad (ii) \quad R\psi_{\text{ZAR}}R\tilde{e}_*L \xleftarrow{\sim} R\psi_{\text{ZAR}}L.$$

*Proof.* (i) First, we check that the natural map  $R\psi_{\text{Et}}L \rightarrow Rj_*j^*R\psi_{\text{Et}}L$  is an isomorphism. Take a geometric point  $\bar{x}$  over  $X$ . We have to show that the natural map  $\text{inj lim } H^q(\tilde{U}_{\text{ét}}, L) \xrightarrow{j^*} \text{inj lim } H^q(\tilde{U}_{K, \text{ét}}, L)$ , where the limit is over étale neighbourhoods of  $\bar{x}$  in  $X$ , is an quasi isomorphism. Take such a  $U$ . There is a canonical map  $\rho$  from the étale topos of  $U_K$  to  $\tilde{U}_{\text{ét}}$  factoring through  $\tilde{U}_{K, \text{ét}}$  [5],[14]. We have a commutative diagram

$$\begin{array}{ccc} H^q(\tilde{U}_{\text{ét}}, L) & \xrightarrow{j^*} & H^q(\tilde{U}_{K, \text{ét}}, L) \\ \searrow \rho^* & & \swarrow \rho^* \\ & & H^q_{\text{ét}}(U_K, L) \end{array}$$

The  $\rho^*$ 's being isomorphisms, we are done.

Next, since  $L = j^*\psi_{\text{Et}}L$ , we have a natural map  $Rj_*L \rightarrow Rj_*j^*R\psi_{\text{Et}}L$ , which we claim is a quasi isomorphism. Again, looking at stalks, we get a map

$$\text{inj lim } H^q_{\text{ét}}(U_K, L) \rightarrow \text{inj lim } H^q(\tilde{U}_{K, \text{ét}}, L)$$

(the limit being over étale neighbourhoods of a geometric point  $\bar{x}$  in  $X$ ). The map  $H^q_{\text{ét}}(U_K, L) \rightarrow H^q(\tilde{U}_{K, \text{ét}}, L)$  being an isomorphism [5], we are done.

(ii) Looking at stalks of both sides at  $\bar{x}$  on  $X$ , we get a map

$$\text{inj lim } H^q(\tilde{U}_{\text{Zar}}, L) \rightarrow \text{inj lim } H^q(\tilde{U}_{\text{ét}}, L).$$

Since  $H^q(\tilde{U}_{\text{Zar}}, L) \rightarrow H^q(\tilde{U}_{\text{ét}}, L)$  is an isomorphism [5, IIIc], we are done.  $\square$

There exist morphisms  $\tilde{c}_0: \mathbf{Q}_p \rightarrow \mathcal{E}^*(0)$ ,  $\tilde{c}_1: \mathcal{O}^*[-1] \rightarrow \mathcal{E}^*(1)$  in  $\mathbf{D}^+(\mathbf{L}_{\text{ZAR}})$ . For  $\tilde{c}_0$ , we use the compatible family of natural maps  $\mathbf{Z}/p^k \rightarrow R\psi_{\text{ZAR}}\mathbf{Z}/p^k$ . So defined  $\tilde{c}_0$  is clearly a unit for our multiplication. We set  $\tilde{c}_1$  to be equal to the following compatible family of maps

$$\begin{aligned} \mathcal{O}^*[-1] &\rightarrow Rj_*\mathcal{O}^*[-1] \rightarrow Rj_*R\epsilon_{K*}\mathcal{O}^*[-1] \xrightarrow{(1)} Rj_*R\epsilon_{K*}\mu_k \\ &\simeq R\tilde{e}_*Rj_*\mu_k \xrightarrow{(2)} R\tilde{e}_*R\psi_{\text{Et}}\mu_k \simeq R\psi_{\text{ZAR}}R\tilde{e}_*\mu_k \xleftarrow{(3)} R\psi_{\text{ZAR}}\mu_k, \end{aligned}$$

where the morphism (1) comes from the Kummer exact sequence on  $\mathbf{L}_{K, \text{Et}}$  ( $\mathbf{L}_{K, \text{Et}}$  being the large étale topos of smooth quasi projective schemes over  $K$ ), and the quasi isomorphisms (2),(3) follow from Lemma 2.3.

Before we proceed, let us mention how all of the above relates to the constructions in the étale cohomology leading to the Soulé's regulator. Define in  $\mathbf{D}^+(\mathbf{L}_{K, \text{ZAR}})$

$$\tau_k^\bullet(n) := R\epsilon_{K*}\mu_k(n) \quad \text{and} \quad \tau^\bullet(n) := \mathbf{Q}_p \otimes^{\mathbf{L}} R\lim_{\leftarrow} R\epsilon_{K*}(\mu_k(n))_k.$$



There is a compatible family of multiplications on  $\tau_k^*(n)$  and on  $\tau^*(n)$ , which is easily seen to be associative and anticommutative.

The map  $c_0^{\text{ét}}: \mathbf{Q}_p \rightarrow \tau^*(0)$  is induced by the natural maps  $\mathbf{Z}/p^k \rightarrow R\mathcal{E}_{K^*}\mathbf{Z}/p^k$ , and the map  $c_1^{\text{ét}}: \mathcal{O}^*[-1] \rightarrow \tau^*(1)$  is induced by the compositions

$$\mathcal{O}^*[-1] \rightarrow R\mathcal{E}_{K^*}\mathcal{O}^*[-1] \rightarrow R\mathcal{E}_{K^*}\mu_k = \tau_k^*(1),$$

where the last map comes from the Kummer exact sequence. The maps  $c_0^{\text{ét}}, c_1^{\text{ét}}$  induce corresponding maps into  $Rj_*\tau^*(i)$ ,  $i = 0, 1$ , which we will also denote by  $c_0^{\text{ét}}, c_1^{\text{ét}}$ , if there is no confusion.

Clearly, for  $Y \in \mathbf{L}_K$ ,  $H_{\text{ét}}(Y, \mu_k(n)) = H(Y, \tau_k^*(n))$ . There are quasi isomorphisms

$$\omega_k: Rj_*\tau_k^*(n) \simeq \mathcal{E}_k^*(n) \quad \text{and} \quad \omega: Rj_*\tau^*(n) \simeq \mathcal{E}^*(n).$$

Indeed, by Lemma 2.3,

$$\begin{aligned} \mathcal{E}_k^*(n) &= R\psi_{\text{ZAR}}\mu_k(n) \simeq R\psi_{\text{ZAR}}R\tilde{\mathcal{E}}_*\mu_k(n) \simeq R\mathcal{E}_*R\psi_{\text{ét}}\mu_k(n) \\ &\simeq R\mathcal{E}_*Rj_*\mu_k(n) \simeq Rj_*\tau_k^*(n). \end{aligned}$$

The maps  $\tilde{c}_0, c_0^{\text{ét}}$  are clearly compatible with  $\omega$ . Since  $\omega c_1^{\text{ét}} = \tilde{c}_1$ , so are the  $c_1$ 's. Also, using Godement resolutions, we conclude that  $\omega$  is compatible with the products induced on  $H^*(X, \mathcal{E}^*(n))$  and on  $H^*(X, Rj_*\tau^*(n)) = H^*(X_K, \tau^*(n)) = H_{\text{ét}}^*(X_K, (\mathbf{Q})_p(n))$ .

**Proposition 2.3.** *Let  $n > 0$ ,  $\zeta = \tilde{c}_1(\mathcal{O}(1)) \in H^2(\tilde{\mathbf{P}}_V^n, \mathbf{Q}_p(1))$ . For  $X \in \mathbf{L}$  and all integers  $j$ , there is a natural isomorphism*

$$\bigoplus_i \pi_{\mathbf{P}_V^n}^*(\zeta)^i \cup \pi_X^*: \bigoplus_{i=0}^n \mathcal{E}^*(j-i)_X[-2i] \rightarrow R\pi_{X^*}\mathcal{E}^*(j)_{\mathbf{P}_X^n}.$$

*Proof.* We easily reduce to the statement that, for every  $k, l$  and  $j$ , the morphism

$$\bigoplus_i \pi_{\mathbf{P}_V^n}^*(\zeta)^i \cup \pi_X^*: \bigoplus_{i=0}^n H^{l-2i}(X, \mathcal{E}_k^*(j-i)) \rightarrow H^l(\mathbf{P}_X^n, \mathcal{E}_k^*(j))$$

is an isomorphism. Now, applying the isomorphism  $\omega_k$  and using its compatibility with the multiplications and the  $c_1$ 's, we reduce to the Dold–Thom isomorphism in étale cohomology, which we know is true.  $\square$

All of the above yields a theory of Chern classes in  $H^{2i}(\tilde{X}, \mathbf{Q}_p(i))$  for simplicial smooth quasi projective schemes  $X$  over  $V$  and locally free sheaves of finite type on  $X$ . Via the morphism  $\omega$ , it is compatible with the theory of étale Chern classes for  $X_K$ . Since the map  $\omega$  is an isomorphism, we conclude that the Chern class theory in  $H^{2i}(\tilde{X}, \mathbf{Q}_p(i))$  has the expected uniqueness, normalization, functoriality, and additivity properties. Using the Gillet’s machinery [8], we can extend these Chern classes to characteristic classes for  $K$ -theory. We will postpone the question of comparison with the “étale”  $K$ -theory classes to Sect. 3.2.

### 3 Comparison

#### 3.1 Auxiliary topos

We will relate the étale regulators and the  $f$ -regulators via regulators into cohomology of an auxiliary topos: the topos of ‘sheaves of rigid local systems’ from [5].

For a smooth, separated scheme  $X$  of finite type over  $V$ , we have a topos  $\tilde{\mathcal{X}}$ . An object of  $\tilde{\mathcal{X}}$  is a collection  $L = ((L_Y), (r_{Y_1 Y_2}))$  of locally constant étale sheaves  $L_Y$  on  $\text{Spec}(\mathcal{A}_K)$ , for every formally smooth  $p$ -adic formal scheme  $Y = \text{Spf}(\mathcal{A})$  over  $\mathcal{X}$ ; for every pair  $Y_2 \rightarrow Y_1 = \text{Spf}(\mathcal{A}_2) \rightarrow \text{Spf}(\mathcal{A}_1)$ , a morphism  $r_{Y_1 Y_2}: f_{Y_1 Y_2}^* L_{Y_1} \rightarrow L_{Y_2}$ , where  $f_{Y_1 Y_2}^*$  is the induced map  $\text{Spec}(\mathcal{A}_{2,K}) \rightarrow \text{Spec}(\mathcal{A}_{1,K})$ , satisfying the usual compatibilities. Further the definition is analogous to that of  $\tilde{X}$ .

There is a map  $\bar{v}: \tilde{\mathcal{X}} \rightarrow \tilde{X}_{\text{ZAR}}$ . Define the complexes of sheaves  $\mathcal{F}_k^\bullet(n)$  and  $\mathcal{F}^\bullet(n)$  in  $\mathbf{D}^+(\mathbf{L}_{\text{ZAR}})$

$$\mathcal{F}_k^\bullet(n) := R\psi_{\text{ZAR}} R\bar{v}_* \mu_k(n), \quad \mathcal{F}^\bullet(n) := \mathbf{Q}_p \otimes^{\mathbf{L}} R \lim_{\leftarrow} \mathcal{F}_k^\bullet(n),$$

where  $\mu_k(n)$  is the  $n$ ’th twist of  $p^k$ -roots of unity on  $\tilde{E}$ . There is an associative and anticommutative product on  $\mathcal{F}_k^\bullet(n)$  and on  $\mathcal{F}^\bullet(n)$ . Clearly  $H(X, \mathcal{F}_k^\bullet(n)) = H(\tilde{\mathcal{X}}, \mu_k(n))$ . Set  $H(\tilde{\mathcal{X}}, \mathbf{Q}_p(n)) := H(X, \mathcal{F}^\bullet(n))$ .

There exist morphisms  $\tilde{c}_0: \mathbf{Q}_p \rightarrow \mathcal{F}^\bullet(0)$ ,  $\tilde{c}_1: \mathcal{O}^*[-1] \rightarrow \mathcal{F}^\bullet(1)$  in  $\mathbf{D}^+(\mathbf{L}_{\text{ZAR}})$ . We define both of them by composing  $\tilde{c}_i$ ’s with the natural maps  $\mu_k(n) \rightarrow R\bar{v}_* \mu_k(n)$ .  $\tilde{c}_0$  is clearly a unit for our product.

The natural maps  $\mu_k(n) \rightarrow R\bar{v}_* \mu_k(n)$  induce compatible morphisms  $t_k: \mathcal{E}_k^\bullet(n) \rightarrow \mathcal{F}_k^\bullet(n)$ , hence a morphism  $t: \mathcal{E}^\bullet(n) \rightarrow \mathcal{F}^\bullet(n)$ .  $t$  is clearly compatible with the maps  $c_0, c_1$  and products. Passing to cohomology, we get a map

$$t: H^*(\tilde{X}, \mathbf{Q}_p(n)) \rightarrow H^*(\tilde{\mathcal{X}}, \mathbf{Q}_p(n)),$$

which is an isomorphism for  $X$  proper (Proposition 6.1 of [13]).

**Proposition 3.1.** *Let  $n > 0$ ,  $\xi = \tilde{c}_1(\mathcal{O}(1)) \in H^2(\mathbf{P}_V^n, \mathcal{F}^\bullet(1))$ . For  $X \in \mathbf{L}$  and all integers  $j$ , there is a natural isomorphism*

$$\bigoplus_i \pi_{\mathbf{P}_V^n}^*(\xi)^i \cup \pi_X^*: \bigoplus_{i=0}^n \mathcal{F}^\bullet(j-i)_X[-2i] \rightarrow R\pi_{X*} \mathcal{F}^\bullet(j)_{\mathbf{P}_X^n}.$$

*Proof.* We easily reduce to the statement that, for every  $j, k, l$  and for every geometric point  $\bar{x}$  of the special fiber of  $X$ , the morphism

$$\begin{aligned} \bigoplus_i \text{inj lim } (\pi_{\mathbf{P}_V^n}^*(\xi)^i \cup \pi_U^*): \bigoplus_{i=0}^n \text{inj lim } H^{l-2i}(U, \mathcal{F}_k^\bullet(j-i)) \\ \rightarrow \text{inj lim } H^l(\mathbf{P}_U^n, \mathcal{F}_k^\bullet(j)) \end{aligned}$$

is an isomorphism, where the limit over the affine étale (sic!) neighbourhoods of  $\bar{x}$  in  $X$  (cf., [14, Proposition 6.2]). Equivalently, we want the morphism

$$\begin{aligned} \bigoplus_i \lim_{\rightarrow} (\pi_{\mathbf{P}_V^n}^*(\xi)^i \cup \pi_U^*) : \bigoplus_{i=0}^n \lim_{\rightarrow} H^{l-2i}(\widetilde{\mathcal{U}}_{\text{ét}}, \mu_k(j-i)) \\ \rightarrow \lim_{\rightarrow} H^l((\mathcal{U} \times \mathbf{P}_V^n)_{\text{ét}}, \mu_k(j)) \end{aligned}$$

to be an isomorphism. We claim that the natural morphism

$$\text{inj} \lim H^l((\mathcal{U} \times \mathbf{P}_V^n)_{\text{ét}}, \mu_k(j)) \rightarrow H^l((\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}}) \times \mathbf{P}_V^n)_{\text{ét}}, \mu_k(j)),$$

where  $\mathcal{O}_{X, \bar{x}}$  denotes the strict henselization at  $\bar{x}$ , is an isomorphism. First, we will prove that the above morphism is an isomorphism for any locally constant  $p$ -torsion sheaf  $L$  on  $X \times T$ , where  $T = \text{Spec}(A)$  is any smooth separated  $V$ -scheme. By  $K(\pi, 1)$ -Lemma [6] and Gabber’s base change, we get

$$\begin{aligned} \lim_{\rightarrow} H^l((\mathcal{U} \times \mathcal{T})_{\text{ét}}, L) &\xleftarrow{\sim} \lim_{\rightarrow} H^l(((U \times A)^h)_{\text{ét}}, L) \simeq \lim_{\rightarrow} H^l((U \times A)^h[1/p]_{\text{ét}}, L) \\ &\xrightarrow{\sim} H^l((\mathcal{O}_{X, \bar{x}} \times A)^h[1/p]_{\text{ét}}, L) \simeq H^l((\mathcal{O}_{X, \bar{x}} \times A)^h)_{\text{ét}}, L) \\ &\xrightarrow{\sim} H^l((\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}}) \times \mathcal{T})_{\text{ét}}, L) \end{aligned}$$

Here  $h$  denotes the henselization at  $p$ . We finish by Mayer–Vietoris.

Since

$$\text{inj} \lim H^{l-2i}(\widetilde{\mathcal{U}}_{\text{ét}}, \mu_k(j-i)) \xrightarrow{\sim} H^{l-2i}(\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}})_{\text{ét}}, \mu_k(j-i))$$

by [14, Proposition 6.1], by the above isomorphism we reduce to showing that the morphism

$$\begin{aligned} \bigoplus_i \pi_{\mathbf{P}_V^n}^*(\xi)^i \cup \pi_{\mathcal{O}_{\widehat{X}, \bar{x}}}^* : \bigoplus_{i=0}^n H^{l-2i}(\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}})_{\text{ét}}, \mu_k(j-i)) \\ \rightarrow H^l((\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}}) \times \mathbf{P}_V^n)_{\text{ét}}, \mu_k(j)) \end{aligned}$$

is an isomorphism. Consider the morphisms

$$\begin{aligned} t_k^{\text{ét}} : H^l(\text{Spec}(\mathcal{O}_{X, \bar{x}})_{\text{ét}}, \mu_k(j)) &\rightarrow H^l(\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}})_{\text{ét}}, \mu_k(j)), \\ t_k^{\text{ét}} : H^l(\text{Spec}((\mathcal{O}_{X, \bar{x}} \times \mathbf{P}_V^n)_{\text{ét}}, \mu_k(j)) &\rightarrow H^l((\text{Spf}(\mathcal{O}_{\widehat{X}, \bar{x}}) \times \mathbf{P}_V^n)_{\text{ét}}, \mu_k(j)). \end{aligned}$$

The first one is an isomorphism because both sides are cohomologies of the fundamental groups of  $\mathcal{O}_{X, \bar{x}}[1/p]$  and  $\mathcal{O}_{\widehat{X}, \bar{x}}[1/p]$  respectively, and we know these groups to be isomorphic [4]. Concerning the second one, project everything via the functor  $\psi$  onto the étale topos of  $\text{Spec}(\mathcal{O}_{X, \bar{x}}) \times \mathbf{P}_V^n$ . The proper base change theorem being true for this scheme (cf., [7]) we can prove that  $t_k^{\text{ét}}$  is also an isomorphism paraphrasing the arguments from the proof of Proposition 6.1 of [14].

We have now reduced everything to the Dold–Thom isomorphism in étale cohomology, which we know is true.  $\square$

All of the above yields a theory of Chern classes in  $H^{2i}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i))$  for simplicial smooth quasi projective schemes  $X$  over  $V$  and locally free sheaves of finite type on  $X$ . Via the morphism  $t: H^{2i}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i)) \rightarrow H^{2i}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i))$ , it is compatible with the theory of Chern classes in  $H^{2i}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i))$ . Since the last theory behaves as expected, so does the former one, i.e., we have uniqueness, normalization, functoriality and additivity properties. Now, the Gillet’s method [8] extends these Chern classes to characteristic classes for  $K$ -theory.

3.2 Comparison between the étale cohomology and the auxiliary topos cohomology

**Proposition 3.2.** For any  $X \in \mathbb{L}$ , the following diagrams commute

$$\begin{array}{ccccc}
 K_j(X_K) & \xrightarrow{c_{ij}^{\text{ét}}} & H_{\text{ét}}^{2i-j}(X_K, \mathbf{Q}_p(i)) & K_j(X) & \xrightarrow{\tilde{c}_{ij}} & H^{2i-j}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i)) \\
 \uparrow & & \uparrow w & \downarrow & & \downarrow t \\
 K_j(X) & \xrightarrow{\tilde{c}_{ij}} & H^{2i-j}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i)) & K_j(X) & \xrightarrow{\widehat{c}_{ij}} & H^{2i-j}(\tilde{\mathcal{X}}, \mathbf{Q}_p(i)).
 \end{array}$$

*Proof.* All the  $K$ -theory classes can be defined following [8] (cf., the proof of Proposition 2.2). Using functoriality for  $\tilde{\mathcal{X}}$ -classes, we reduce to checking that the following diagram commutes (in the homotopy category)

$$\begin{array}{ccc}
 & B.GL(\mathcal{O}_{X_K}) & \\
 & \tilde{C}_i \swarrow & \searrow C_i^{\text{ét}} \\
 \mathcal{H}(2i, \mathcal{E}_K^*(i)') & \xrightarrow{\omega} & \mathcal{H}(2i, \tau^*(i)')
 \end{array}$$

where  $\mathcal{E}_K^*(i)'$  and  $\tau^*(i)'$  are injective resolutions of  $\mathcal{E}_K^*(i)$  and  $\tau^*(i)$  on  $X_K$ ,  $\mathcal{H}$  is the Dold–Puppe functor of the corresponding truncations, and the maps of pointed simplicial sets  $\tilde{C}_i, C_i^{\text{ét}}$  come from the universal Chern classes  $\tilde{C}_{i,n}^K \in H^{2i}(B.GL_n/K, \mathcal{E}^*(i))$  and  $C_{i,n}^{\text{ét}} \in H^{2i}(B.GL_n/K, \tau^*(i))$ . Hence, it suffices to check that  $\tilde{C}_{i,n}^K$  and  $C_{i,n}^{\text{ét}}$  are compatible with  $\omega: H^{2i}(B.GL_n/K, \mathcal{E}^*(i)) \simeq H^{2i}(B.GL_n/K, \tau^*(i))$ . That, in turn, follows easily from the compatibility of  $\omega$  with  $c_0, c_1$  and products.

For the other diagram we argue in the same way. □

3.3 Comparison between the  $f$ -cohomology and the auxiliary topos cohomology

The following proposition will allow us to reduce computations to affine schemes.

**Proposition 3.3.** *Any torsor  $\pi: W \rightarrow X$  for a locally free sheaf of finite type over a quasi projective smooth  $V$ -scheme  $X$  induces a cohomology isomorphism*

$$H^*(\widetilde{\mathcal{X}}, L) \xrightarrow{\sim} H^*(\mathcal{W} \sim, \pi^* L)$$

for a sheaf  $L$  on  $\text{Spec}(K)_{\text{ét}}$ .

*Proof.* Project everything via the functor  $\psi$  to the étale topos of  $X$ . It suffices to show that the natural morphism

$$\text{inj lim } H^*(\mathcal{U} \sim, L) \rightarrow \text{inj lim } H^*(\mathcal{W}_U \sim, \pi^* L),$$

where the limit is over affine étale neighbourhoods  $U$  of a geometric point  $\bar{x}$  on the special fiber of  $X$ , is an isomorphism. Here, we can assume that  $W_U = U \times \mathbb{A}_V^n$ .

Consider the commutative diagram

$$\begin{array}{ccc} \text{inj lim } H^*(\mathcal{U}_{\text{ét}} \sim, L) & \longrightarrow & \text{inj lim } H^*((\mathcal{U} \times \widehat{\mathbb{A}}_V^n)_{\text{ét}} \sim, L) \\ \wr \downarrow & & \downarrow \gamma \\ H^*(\text{Spf}(\widehat{\mathcal{O}}_{X, \bar{x}})_{\text{ét}} \sim, L) & \longrightarrow & H^*((\text{Spf}(\widehat{\mathcal{O}}_{X, \bar{x}}) \times \widehat{\mathbb{A}}_V^n)_{\text{ét}} \sim, L) \\ \wr \uparrow & & \uparrow \wr \\ H^*(\text{Spec}(\mathcal{O}_{X, \bar{x}})_{\text{ét}} \sim, L) & \longrightarrow & H^*((\mathcal{O}_{X, \bar{x}} \times \mathbb{A}_V^n)^h)_{\text{ét}} \sim, L) \\ \parallel & & \parallel \\ H_{\text{ét}}^*(\text{Spec}(\mathcal{O}_{X, \bar{x}})[1/p], L) & \xrightarrow{\ell} & H_{\text{ét}}^*((\mathcal{O}_{X, \bar{x}} \times \mathbb{A}_V^n)^h)[1/p], L). \end{array}$$

The morphism  $\gamma$  is an isomorphism by the following commutative diagram

$$\begin{array}{ccc} \lim_{\rightarrow} H^*((\mathcal{U} \times \widehat{\mathbb{A}}_V^n)_{\text{ét}} \sim, L) & \xleftarrow{\sim} & \lim_{\rightarrow} H^*((U \times \mathbb{A}_V^n)^h)_{\text{ét}} \sim, L) \simeq \lim_{\rightarrow} H_{\text{ét}}^*((U \times \mathbb{A}_V^n)_K^h, L) \\ \gamma \downarrow & & \downarrow \\ H^*((\widehat{\mathcal{O}}_{X, \bar{x}} \times \widehat{\mathbb{A}}_V^n)_{\text{ét}} \sim, L) & \xleftarrow{\sim} & H^*((\mathcal{O}_{X, \bar{x}} \times \mathbb{A}_V^n)^h)_{\text{ét}} \sim, L) \simeq H_{\text{ét}}^*((\mathcal{O}_{X, \bar{x}} \times \mathbb{A}_V^n)_K^h, L). \end{array}$$

It suffices to prove that the map  $\ell$  is an isomorphism. Since both sides define cohomological functors it suffices to check their behaviour in degree 0 and for injectives on  $\text{Spec}(K)_{\text{ét}}$ . We clearly have the vanishing of both cohomologies in degrees higher than 0 for injectives. In degree 0, assume

that  $L$  is constructable. Then  $L = \coprod \text{Spec}(K_i)$ , where  $K_i$  is a finite field extension of  $K$ . Let  $V_i$  be the corresponding ring of integers. Take any  $K_i$ . Then  $V_i = \bigoplus_{k=0}^l x_i^k V$ , for some  $x_i \in V_i$ . If  $f \in H_{\text{et}}^0((\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h[1/p], L)$ , then  $f = f_i$ ,  $f_i \in \text{Mor}_K(\text{Spec}((\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h[1/p]), \text{Spec}(K_i))$ . If  $f_i \neq 0$ , then, since  $(\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h$  is normal and integral,  $f_i$  comes from a  $V$ -morphism  $f_i: V_i \rightarrow (\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h$  and  $V_i$  is necessarily étale over  $V$ . Now,  $f_i(x_i) \in (\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h$  is a root of a polynomial with all the roots in the maximal unramified extension  $V^{\text{unr}}$  of  $V$ . Since  $V^{\text{unr}} \subset \mathcal{O}_{X,\bar{x}}$  and  $(\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h$  is an integral domain,  $f_i(x_i) \in V^{\text{unr}} \subset \mathcal{O}_{X,\bar{x}}$ , and  $\ell$  is surjective. Since  $\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n$  is an integral domain,  $\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n \hookrightarrow (\mathcal{O}_{X,\bar{x}} \times \mathbb{A}_V^n)^h$ , and  $\ell$  is injective.  $\square$

We will need the following description of Gillet’s  $K$ -theory characteristic classes [1], [16]. Let  $X \in \mathbf{L}$  be an affine scheme. Consider the evaluation maps

$$\text{ev}_k : X \times B \cdot GL_k(X) \rightarrow B \cdot GL_k/V$$

(in the category of simplicial schemes). The universal class  $C_{i,k}^f \in H^{2i}(B \cdot GL_k/V, \mathcal{S}^*(i))$  gives a class  $\text{ev}_k^*(C_{i,k}^f) \in H^{2i}(X \times B \cdot GL_k(X), \mathcal{S}^*(i))$ . Varying  $k$ , we get a class in  $H^{2i}(X \times B \cdot GL(X), \mathcal{S}^*(i))$ , where  $B \cdot GL/V = \text{inj lim } B \cdot GL_k/V$ . Since

$$\begin{aligned} H^{2i}(X \times B \cdot GL(X), \mathcal{S}^*(i)) &\simeq \text{Hom}_{\mathcal{H}\mathbf{o}^*}(B \cdot GL(X), R\Gamma(X, \mathcal{K}(2i, \mathcal{S}^*(i)'_X))) \\ &= \text{Hom}_{\mathcal{H}\mathbf{o}^*}(\mathbf{Z}_\infty B \cdot GL(X), R\Gamma(X, \mathcal{K}(2i, \mathcal{S}^*(i)'_X))), \end{aligned}$$

where  $\mathcal{H}\mathbf{o}^*$  is the homotopy category of pointed simplicial sets and  $\mathcal{S}^*(i)'_X$  is an injective resolution of  $\mathcal{S}^*(i)_X$ , we get a map (in the homotopy category)

$$C_i^f : \mathbf{Z}_\infty B \cdot GL(X) \rightarrow R\Gamma(X, \mathcal{K}(2i, \mathcal{S}^*(i)'_X)),$$

where  $\mathbf{Z}_\infty$  is the sheafified version of the integral completion functor of Bousfield–Kan. That yields our characteristic classes for  $j > 0$

$$c_{ij}^f : K_j(X) = \pi_j \mathbf{Z}_\infty B \cdot GL(X) \xrightarrow{C_i^f} \pi_j R\Gamma(X, \mathcal{K}(2i, \mathcal{S}^*(i)'_X)) \simeq H^{2i-j}(X, \mathcal{S}^*(i)).$$

Same description is valid for all the other cohomologies we use here.

Recall [5], [14] that, for a well-pointed  $X \in \mathbf{L}$ , there is a map  $l : \mathcal{S}^*(n)_X \rightarrow \mathcal{F}^*(n)_X$  in  $\mathbf{D}^+(X)$ , functorial with respect to well-pointed morphisms  $f : X \rightarrow Y$ ,  $X, Y \in \mathbf{L}$ . In particular, the map  $l$  extends to well-pointed simplicial schemes. Here, well-pointed scheme is a scheme with a particular choice of base-points, namely, a choice of a geometric generic formal point for every connected formal component. Well-pointed morphism is a morphism of well-pointed schemes equipped with a choice of path between the corresponding base points. Every morphism can be well-pointed. Well-pointed simplicial scheme has a well-pointed scheme in every degree and the base-points are compatible with the face operators.

The construction of the above map  $l$  uses the fact that, for a well-pointed  $X \in \mathbf{L}$ , the complex  $\mathcal{F}^*(n)_X = \mathbf{Q}_p \otimes^{\mathbf{L}} R \lim_{\leftarrow} R\psi_{ZAR} R\tilde{i}_* \mu_k(n)$  is quasi isomorphic to the complex of sheaves associated to the complex of presheaves

sending an open affine  $U$  of  $X$  to the standard nonhomogenous continuous cochain complex  $C^*(\pi_1(\mathcal{U}[1/p]), \mathbf{Q}_p(n))$  of  $\mathbf{Q}_p(n)$ .

**Proposition 3.4.** *For any  $X \in \mathcal{L}$ , the following diagram commutes*

$$\begin{array}{ccc} & K_f(X) & \\ c_{ij}^f \swarrow & & \searrow \tilde{c}_{ij}^\wedge \\ H^{2i-j}(X, \mathcal{S}^\bullet(i)) & \xrightarrow{l} & H^{2i-j}(X, \mathcal{F}^\bullet(i)). \end{array}$$

*Proof.* First, let  $j = 0$ . Since  $l$  is compatible with products and we have projective space theorems, it suffices to check that  $l$  is compatible with Chern classes of invertible sheaves. Let  $\mathcal{L}$  be an invertible sheaf on  $X$ . Concerning  $c_0$ , note that  $l: H^0(X, \mathcal{S}^\bullet(0)) \rightarrow H^0(X, \mathcal{F}^\bullet(0))$  is an isomorphism [14]. Hence  $l(c_0^f(\mathcal{L}))$  is a unit in  $H^0(X, \mathcal{F}^\bullet(0))$  and consequently is equal to  $\tilde{c}_0^\wedge(\mathcal{L})$ .

For  $c_1$ , choose an open small  $\mathcal{L}$  is represented by a cocycle  $\{f_{ij} \in \Gamma(U_{ij}, \mathcal{O}_X^*)\}$ . Assume also that there are good embeddings  $C(R_i)$  of  $R_i$ . Let us describe the class

$$\begin{aligned} c_1^f(\mathcal{L}) &\in H_f^2(X, \mathcal{S}^\bullet(1)) \xrightarrow{\sim} H_f^2(N, U, \mathcal{S}^\bullet(1)) \\ &= H^2(\text{Cone}(\mathcal{K}_{T'} \otimes \Omega_{R'_i/V_0} \oplus F^1 \Omega_{R'_i/V} \xrightarrow{\beta} \mathcal{K}_{T'} \otimes \Omega_{R'_i/V_0} \\ &\quad \oplus \mathcal{K}_{T''} \otimes \Omega_{R''_i/V})[-1]). \end{aligned}$$

It is represented (cf., Sect. 2.1) by a 2-cocycle in

$$\text{Cone}(\mathcal{K}_{T'} \otimes \Omega_{R'_i/V_0} \oplus F^1 \Omega_{R'_i/V} \xrightarrow{\beta} \mathcal{K}_{T'} \otimes \Omega_{R'_i/V_0} \oplus \mathcal{K}_{T''} \otimes \Omega_{R''_i/V})[-1]$$

given on  $R_{ij}$  and on  $R_{ijk}$  respectively by

$$\begin{aligned} &(\text{dlog } f'_{ij}, \text{dlog } \tilde{f}'_{ij}, p^{-1} \log(\phi(f'_{ij})/f'^{1p}_{ij})) \\ &\in \mathcal{K}_{T'_i} \otimes \Omega_{R'_i/V_0}^1 \oplus \mathcal{K}_{T''_i} \otimes \Omega_{R''_i/V}^1 \oplus \mathcal{K}_{T'_i} \otimes \Omega_{R'_i/V_0}^0, \end{aligned}$$

$$(\log(f'_{jk} f'^{-1}_{ik} f'_{ij}), \log(\tilde{f}'_{jk} \tilde{f}'^{-1}_{ik} \tilde{f}'_{ij})) \in \mathcal{K}_{T'_{ijk}} \otimes \Omega_{R'_{ijk}/V_0}^0 \oplus \mathcal{J}^{(1)} \otimes \Omega_{R'_{ijk}/V}^0,$$

where  $f'_{ij}$  and  $\tilde{f}'_{ij}$  lift  $f_{ij}$  to  $R'_{ij}$  and  $R''_{ij}$  respectively, and  $\tilde{f}'_{ij}$  is the image of  $f'_{ij}$  under the map  $R'_{ij} \rightarrow R''_{ij}$ .

Now, let's see what happens to this cocycle under the map  $l$ . The computation is rather tedious – we advice the reader to consult [14] for details. First, recall the definition of this map. We have sequences of morphisms between complexes of sheaves of  $\pi_1(\widehat{R}_{ij}[1/p])$ -modules

$$\mathcal{K}_{T'_i} \otimes \Omega_{R'_i/V_0} \rightarrow \Omega_{\widehat{B}(R_{ij})} \rightarrow S^*(\Omega_{\widehat{B}(R_{ij})}) \xrightarrow{(1)} S^*(\mathcal{K}_{\widehat{B}(R_{ij})}) \xrightarrow{\sim} S^*(B(\widehat{R}_{ij})(1));$$

$$\mathcal{K}_{T''_i} \otimes \Omega_{R''_i/V} \rightarrow \Omega_{\widehat{B}_{dR}(R_{ij})} \rightarrow S^*(\Omega_{\widehat{B}_{dR}(R_{ij})}) \xrightarrow{(2)} S^*(\mathcal{K}_{\widehat{B}_{dR}(R_{ij})}) \xrightarrow{\sim} S^*(B_{dR}(\widehat{R}_{ij})(1)),$$

where  $S^\bullet$  are the continuous homogeneous cochains of  $\pi_{ij}^\wedge$  and (1) and (2) are quasi isomorphisms. Here,  $\Omega_{B(\widehat{R}_{ij})}^\bullet$  and  $\Omega_{B_{dR}(\widehat{R}_{ij})}^\bullet$  are certain resolutions of the structure crystals evaluated on  $B(\widehat{R}_{ij})$  and  $B_{dR}(\widehat{R}_{ij})$  respectively (and twisted by  $-1$ ). These sequences yield a morphism

$$\begin{aligned} \Omega_{(R_{ij}, C(R_{ij}))}^\bullet(\mathcal{K}(1)) &\rightarrow \text{Cone}(C^\bullet(\pi_{ij}^\wedge, B(\widehat{R}_{ij}))(1)) \oplus C^\bullet(\pi_{ij}^\wedge, F^0 B_{dR}(\widehat{R}_{ij}))(1)) \\ &\xrightarrow{\beta} C^\bullet(\pi_{ij}^\wedge, B(\widehat{R}_{ij}))(1) \oplus C^\bullet(\pi_{ij}^\wedge, B_{dR}(\widehat{R}_{ij}))(1))[-1], \end{aligned}$$

where  $\beta(x, y) = (x - p^{-1}\phi_{ij}(x), x - y)$ . Now, the fundamental exact sequence

$$0 \rightarrow \mathbf{Q}_p(1)_{\widehat{R}_{ij}} \rightarrow B(\widehat{R}_{ij})(1) \oplus F^0 B_{dR}(\widehat{R}_{ij})(1) \xrightarrow{\beta} B(\widehat{R}_{ij})(1) \oplus B_{dR}(\widehat{R}_{ij})(1) \rightarrow 0$$

yields a quasi isomorphism

$$\begin{aligned} C^\bullet(\pi_{ij}^\wedge, \mathbf{Q}_p(1)_{\widehat{R}_{ij}}) &\rightarrow \text{Cone}(C^\bullet(\pi_{ij}^\wedge, B(\widehat{R}_{ij}))(1)) \oplus C^\bullet(\pi_{ij}^\wedge, F^0 B_{dR}(\widehat{R}_{ij}))(1)) \\ &\xrightarrow{\beta} C^\bullet(\pi_{ij}^\wedge, B(\widehat{R}_{ij}))(1) \oplus C^\bullet(\pi_{ij}^\wedge, B_{dR}(\widehat{R}_{ij}))(1))[-1], \end{aligned}$$

and finally our map  $l_{ij} : \Omega_{(R_{ij}, C(R_{ij}))}^\bullet(\mathcal{K}(1)) \rightarrow C^\bullet(\pi_{ij}^\wedge, \mathbf{Q}_p(1)_{\widehat{R}_{ij}})$  from which we construct  $l$  by gluing. Recall that

$$\Omega_{B(\widehat{R}_{ij})}^\bullet := \mathcal{H}_{X_k/V_0}\{-1\}_{B(\widehat{R}_{ij})} \otimes_{B_t(\widehat{R}_{ij})[1/p]} (\text{proj lim } \mathcal{B}(\widehat{R}_{ij})_n[1/p]) \otimes_{R'_j} \Omega_{R'_j/V_0}^\bullet,$$

where  $B_t(\widehat{R}_{ij})$  is the  $V_0$ -enlargement (with the ideal  $(\xi, [(\pi)])$ ) of  $R_{ij}/\pi$  equal to the  $p$ -adic completion of  $W(S(\widehat{R}_{ij}))[\xi^p/p]$ , and  $\mathcal{B}(\widehat{R}_{ij})_n$  is the algebra of the  $n$ 'th enlargement associated to the widening given by the algebra  $B_t(\widehat{R}_{ij}) \hat{\otimes}_{V_0} \widehat{R}'_{ij}$  completed along  $B_t(\widehat{R}_{ij})/(\xi, [(\pi)])$ . Here,  $S(\widehat{R}_{ij}) = \text{proj lim } \widehat{R}_{ij}/p\widehat{R}_{ij}$ , where the maps in the projective system are the  $p$ -th power maps and  $\widehat{R}_{ij}$  is the normalization of  $\widehat{R}_{ij}$  in the maximal étale extension of  $\widehat{R}_{ij}[1/p]$ , and  $\xi = [(p)] + p[(-1)]$ , where  $(p), (-1) \in S(\widehat{R}_{ij})$  are the reductions mod  $p$  of sequences of  $p$ -roots of  $p$  and  $-1$  respectively.  $\mathcal{H}_{X_k/V_0}\{-1\}_{B(\widehat{R}_{ij})}$  is the evaluation of the structure crystal  $\mathcal{H}_{X_k/V_0}\{-1\}$  (twisted by  $-1$ ) on  $B(\widehat{R}_{ij})$ .

Consider the element  $h'_{ij} = [(1/f_{ij})] \otimes f'_{ij} \in B_t(\widehat{R}_{ij}) \hat{\otimes}_{V_0} \widehat{R}'_{ij}$ , where  $(f_{ij}) \in S(\widehat{R}_{ij})$  is a sequence of  $p$ -power roots of  $f_{ij}$ . It is easy to check that both  $[(f_{ij})] \otimes 1$  and  $1 \otimes f'_{ij}$  have the same image in  $B_t(\widehat{R}_{ij})/(\xi, [(\pi)])$ , thus we have a well defined  $\log h'_{ij}$  in every  $\mathcal{B}(\widehat{R}_{ij})_n$ . Recall that

$$\Omega_{B_{dR}(\widehat{R}_{ij})}^\bullet := \mathcal{H}_{X_k/V}\{-1\}_{\mathcal{B}_{dR}(\widehat{R}_{ij})} \otimes_{R''_j} \Omega_{R''_j/V}^\bullet,$$

where  $\mathcal{B}_{dR}(\widehat{R}_{ij}) = \mathcal{B}_{dR}^+(\widehat{R}_{ij})[t^{-1}]$  with  $\mathcal{B}_{dR}^+(\widehat{R}_{ij}) = \text{proj lim } E^+(\widehat{R}_{ij})/t^n E^+(\widehat{R}_{ij})$ . Here  $E^+(\widehat{R}_{ij}) = \bigcup_{n \geq 0} t^{-n} F^n E(\widehat{R}_{ij})$  as a  $B_{dR}^+(\widehat{R}_{ij})$ -subalgebra of  $E(\widehat{R}_{ij})[t^{-1}]$ , where  $E(\widehat{R}_{ij}) = \text{proj lim } K \otimes (W_V(S(\widehat{R}_{ij})) \hat{\otimes}_V R''_{ij})/K \otimes I^n$ , and  $I$  is the ideal of  $\widehat{R}_{ij}^\wedge$  in  $W_V(S(\widehat{R}_{ij})) \hat{\otimes}_V R''_{ij}$ .



Consider the element  $h''_{ij} = [(1/f_{ij})] \otimes \tilde{f}_{ij} \in W_V(S(\widehat{R}_{ij})) \hat{\otimes}_V \widehat{R}''_{ij}$ . Since  $[(f_{ij})] \otimes 1$  and  $1 \otimes \tilde{f}_{ij}$  have the same image in  $\widehat{R}_{ij}^\wedge$ ,  $\log h''_{ij}$  is well defined already in  $E(\widehat{R}_{ij})$ . Moreover, since  $\log h''_{ij}$  lies in the closure of the ideal  $K \otimes I$ ,  $\log h''_{ij} \in F^0 \Omega^0_{B_{dR}(\widehat{R}_{ij})}$ .

Consider the element  $(\log h'_{ij}, \log h''_{ij}) \in C^0(\pi_{ij}, \Omega^0_{B(\widehat{R}_{ij})}) \oplus C^0(\pi_{ij}, F^0 \Omega^0_{B_{dR}(\widehat{R}_{ij})})$  as a 1-class in the complex

$$\begin{aligned} & \text{Cone}(C^*(\pi_{\widehat{\cdot}}, \Omega^0_{B(\widehat{R}_{\cdot})}) \oplus C^*(\pi_{\widehat{\cdot}}, F^0 \Omega^0_{B_{dR}(\widehat{R}_{\cdot})}) \xrightarrow{\beta} C^*(\pi_{\widehat{\cdot}}, \Omega^0_{B(\widehat{R}_{\cdot})}) \\ & \oplus C^*(\pi_{\widehat{\cdot}}, \Omega^0_{B_{dR}(\widehat{R}_{\cdot})}))[-1]. \end{aligned}$$

Let's compute its differential. In the Čech direction, we get on  $R_{ijk}$  the element

$$\begin{aligned} & (\log([(f_{jk}^{-1} f_{ik} f_{ij}^{-1})] \otimes f'_{jk} f'_{ik}{}^{-1} f'_{ij}), \log([(f_{jk}^{-1} f_{ik} f_{ij}^{-1})] \otimes \tilde{f}_{jk} \tilde{f}_{ik}{}^{-1} \tilde{f}_{ij})) \\ & = \log([(f_{jk}^{-1} f_{ik} f_{ij}^{-1})]) + \log(f'_{jk} f'_{ik}{}^{-1} f'_{ij}), \log([(f_{jk}^{-1} f_{ik} f_{ij}^{-1})]) \\ & + \log(\tilde{f}_{jk} \tilde{f}_{ik}{}^{-1} \tilde{f}_{ij}). \end{aligned}$$

The equality above follows from the fact that both  $[(f_{jk}^{-1} f_{ik} f_{ij}^{-1})]$  and  $f'_{jk} f'_{ik}{}^{-1} f'_{ij}$  (respectively,  $[(f_{jk}^{-1} f_{ik} f_{ij}^{-1})]$  and  $\tilde{f}_{jk} \tilde{f}_{ik}{}^{-1} \tilde{f}_{ij}$ ) have the image in  $B_i(\widehat{R}_{ij}) \hat{\otimes}_{V_0} \widehat{R}'_{ij}$  (respectively, in  $\widehat{R}_{ij}$ ) equal to 1. In the  $f$ -direction, we get  $(d \log f'_{ij}, d \log \tilde{f}_{ij}, p^{-1} \log(1 \otimes (\phi_{ij}(f'_{ij})/f'_{ij}{}^p), 0)$ . Finally, to compute the differential in the Galois direction, note that  $\pi_{ij}$  acts on both  $\log h'_{ij}$  and  $\log h''_{ij}$  via its action on  $[(1/f_{ij})]$ . The last action is given by a character  $\pi_{ij} \xrightarrow{\lambda} \mathbf{Z}_p(1)^* \xrightarrow{\alpha} W(S(\widehat{R}_{ij}))^*$ . Hence the differential carries  $(\log h'_{ij}, \log h''_{ij})$  into a cocycle in  $C^1(\pi_{ij}, \Omega^0_{B(\widehat{R}_{ij})}) \oplus C^1(\pi_{ij}, F^0 \Omega^0_{B_{dR}(\widehat{R}_{ij})})$  sending  $g \in \pi_{ij}$  to  $(\log \alpha \chi(g), \log \alpha \chi(g))$ .

Let us now describe the class  $\tilde{c}_1(\mathcal{L}) \in H^2(\tilde{\mathcal{X}}, \mathbf{Q}_p(1))$ . It can be represented by a 2-cocycle in  $C^*(\pi_{\widehat{\cdot}}, \mathbf{Q}_p(1)_{\widehat{R}_{\cdot}})$  given on  $R_{ij}$  by

$$\pi_{ij} \xrightarrow{\lambda^{-1}} \mathbf{Z}_p(1)^* \xrightarrow{\alpha} (\text{proj lim } \widehat{R}_{ij})^* \in C^1(\pi_{ij}, \mathbf{Q}_p(1)_{\widehat{R}_{ij}}), \quad g \mapsto g(f_{ij})(f_{ij}^{-1}),$$

and on  $R_{ijk}$  by  $(f_{jk} f_{ik}{}^{-1} f_{ij}) \in C^0(\pi_{ikj}, \mathbf{Q}_p(1)_{\widehat{R}_{ijk}})$ . Since the isomorphisms

$$\mathbf{Q}_p(1)_{\widehat{R}_{ij}} \otimes B(\widehat{R}_{ij}) \xrightarrow{\sim} \mathcal{H}_{B(\widehat{R}_{ij})} \quad \mathbf{Q}_p(1)_{\widehat{R}_{ij}} \otimes B_{dR}(\widehat{R}_{ij}) \xrightarrow{\sim} \mathcal{H}_{B_{dR}(\widehat{R}_{ij})}$$

are induced by the map  $\log \alpha$ , the above computation shows that the differential of  $(\log h'_{ij}, \log h''_{ij})$  is equal to the difference of the images of the 2-cocycles defining  $c_1^f(\mathcal{L})$  and  $\tilde{c}_1(\mathcal{L})$ , as wanted.

Assume now that  $j > 0$ . By Jouanolou’s trick [9], we can assume  $X$  to be affine. It is clear from the above description of the  $K$ -theory characteristic classes and the fact that the simplicial scheme  $X \times B.GL_k(X)$  is well-pointed, that it suffices to show that the class  $ev_k^*(C_{i,k}^f)$  is mapped via  $l$  to  $ev_k^*(\widetilde{C}_{i,k})$ .

Although  $B.GL_k/V$  is not well-pointed in the sense described above, there is a choice of a base point, namely the zero section, compatible with all the maps in  $B.GL_k/V$ . One can also (Zariski) cover  $B.GL_k/V$  with a semisimplicial scheme build from small open affines containing the zero section. This suffices [14] to define a map  $l: \mathcal{S}^*(i) \rightarrow \mathcal{F}^*(i)$  in the derived category over the nerve of that scheme, hence a map  $l: H^*(B.GL_k/V, \mathcal{S}^*(i)) \rightarrow H^*(B.GL_k/V, \mathcal{F}^*(i))$ . Consider the following diagram

$$\begin{array}{ccc}
 H^*(B.GL_k/V, \mathcal{S}^*(i)) & \xrightarrow{ev_k^*} & H^*(X \times B.GL_k(X), \mathcal{S}^*(i)) \\
 \downarrow l & & \downarrow l \\
 H^*(B.GL_k/V, \mathcal{F}^*(i)) & \xrightarrow{ev_k^*} & H^*(X \times B.GL_k(X), \mathcal{F}^*(i)).
 \end{array}$$

We want to prove that this diagram commutes and that the left map  $l$  is compatible with the universal classes. Concerning commutativity, had  $B.GL_k/V$  been well-pointed, it would have followed from functoriality (with respect to well-pointed morphisms) of the map  $l$ . Since that is not the case, set  $g_1 = ev_k l$  and  $g_2 = lev_k$ , and consider the induced maps of spectral sequences

$$\begin{array}{ccc}
 E_1^{s,t} = H^t(B_s GL_k/V, \mathcal{S}^*(i)) & \Rightarrow & H^{s+t}(B.GL_k/V, \mathcal{S}^*(i)) \\
 \downarrow g_1 & & \downarrow g_2 \\
 E_1^{s,t} = H^t(X \times B_s GL_k(X), \mathcal{F}^*(i)) & \Rightarrow & H^{s+t}(X \times B.GL_k(X), \mathcal{F}^*(i))
 \end{array}$$

Since now the morphism  $ev_k: X \times B_s GL_k(X) \rightarrow B_s GL_k/V$  is well-pointed, we see that the maps  $g_1, g_2$  induce the same map on the terms of the spectral sequence, hence on the limit cohomology groups as well.

For the universal classes, we will use the splitting principle (cf., [3], [16]). Let  $T_1/V = \mathbf{G}_m/V, T_k/V = (\mathbf{G}_m)^k/V$ . Consider the maps

$$B.T_k/V \xrightarrow{h_1} B.B_k/V \xrightarrow{h_2} B.GL_k/V,$$

where  $B_k/V$  is the standard Borel subgroup of  $GL_k/V$ . We claim that the map

$$(h_2 h_1)^*: H^*(B.GL_k/V, \mathcal{F}^*(i)) \rightarrow H^*(B.T_k/V, \mathcal{F}^*(i))$$

is injective. Indeed, by the homotopy property (3.3),  $h_1^*$  is an isomorphism. Concerning  $h_2^*$ , we have the following commutative diagram

$$\begin{array}{ccc}
 B \cdot B_k/V = B(*, B_k/V, *) & \longrightarrow & B(*, GL_k/V, *) = B \cdot GL_k/V \\
 \varepsilon_1 \uparrow & & \varepsilon_2 \uparrow \\
 B([B_k/V \setminus GL_k/V] \cdot, GL_k/V, *) & \xrightarrow{\gamma_1} & B([GL_k/V \setminus GL_k/V] \cdot, GL_k/V, *) \\
 \omega_1 \downarrow & & \omega_2 \downarrow \\
 B(\text{Fl}_k/V, GL_k/V, *) & \xrightarrow{\gamma_2} & B(*, GL_k/V, *)
 \end{array}$$

where  $\text{Fl}_k/V$  is the full flag scheme corresponding to  $B_k/V \setminus GL_k/V$ ,  $B(X, G/V, Y)$  is the double bar construction [10]:  $n \mapsto X \times G^n/V \times Y$ . This construction can be extended in an obvious way to the case when  $X$  or  $Y$  itself is a simplicial scheme.  $B(X, G/V, Y)$  is then a bisimplicial scheme. Also,

$$\begin{aligned}
 [B_k/V \setminus GL_k/V] \cdot &= B(*, B_k/V, GL_k/V) \xrightarrow{\sim} \text{cosk}(GL_k/V \rightarrow \text{Fl}_k/V) \xrightarrow{\omega_1} \text{Fl}_k/V \\
 [GL_k/V \setminus GL_k/V] \cdot &= B(*, GL_k/V, GL_k/V) \xrightarrow{\sim} \text{cosk}(GL_k/V \rightarrow V) \xrightarrow{\omega_2} V
 \end{aligned}$$

are the simplicial models of the corresponding homogeneous spaces.

The maps  $\omega_i$  induce isomorphisms on our cohomology as easily follows from the existence of local sections of the morphisms  $GL_k/V \rightarrow \text{Fl}_k/V$  and  $GL_k/V \rightarrow V$ . Since  $\gamma_2$  can be represented as a tower of morphisms from the projective bundle associated to a vector bundle over a simplicial scheme to that scheme, by the Dold–Thom isomorphism (Proposition 3.1),  $\gamma_2^*$  is injective, hence so is  $\gamma_1^*$ . To finish, we claim that the maps  $\varepsilon_i^*$  are isomorphisms. Indeed,  $B([B_k/V \setminus GL_k/V] \cdot, GL_k/V, *) = B(*, B_k/V, [GL_k/V \setminus GL_k/V] \cdot)$  and  $\varepsilon_1$  is induced by the canonical map  $[GL_k/V \setminus GL_k/V] \cdot \rightarrow V$ . Since the last one is a cohomology isomorphism, we are done. Similarly for  $\varepsilon_2^*$ .

Arguing as above, we get that  $(h_2h_1)^*$  commutes with the map  $l$ . Our problem reduces thus to checking that  $l((h_2h_1)^*(C_{i,k}^f)) = (h_2h_1)^*(\widetilde{C}_{i,k})$ . But by additivity of Chern classes  $(h_2h_1)^*(C_{i,k}^f) = \sigma_i(a_1^f, \dots, a_k^f)$ , where  $a_j^f$ ,  $1 \leq j \leq k$  is the pullback via the  $j$ 'th projection of the first Chern class  $C_{1,1}^f$  of the universal locally free sheaf on  $B \cdot T_1/V = B \cdot GL_1/V$ . Similarly,  $(h_2h_1)^*(\widetilde{C}_{i,k}) = \sigma_i(\widetilde{a}_1, \dots, \widetilde{a}_k)$ . Here,  $\sigma_i$  is the  $i$ 'th elementary symmetric function. Since the map  $l$  is compatible with cup products and every projection  $\text{pr}_j: B \cdot T_k/V \rightarrow B \cdot T_1/V$  is compatible with our base point (the zero section), it suffices to show that  $l(C_{1,1}^f) = \widetilde{C}_{1,1}$ .

Here, we can reduce the computations to schemes. Consider the projective space  $\mathbf{P}_V^m$  with its usual affine covering  $\{U_i\}$ ,  $i = 0, \dots, m$ , and the associated Čech nerve  $N \cdot U$ . The standard trivialization of the canonical invertible

sheaf  $\mathcal{O}(1)$  on  $\mathbf{P}_V^m$  over  $\{U_i\}$  defines a map  $\psi_m: N.U \rightarrow B.GL_1/V$ . We have a commutative diagram

$$\begin{CD} H^2(\mathbf{P}_V^m, \mathcal{S}^\bullet(1)) @>\sim>> H^2(N.U, \mathcal{S}^\bullet(1)) @<\psi_m^*<< H^2(B.GL_1/V, \mathcal{S}^\bullet(1)) \\ @VVlV @VVlV @VVlV \\ H^2(\mathbf{P}_V^m, \mathcal{F}^\bullet(1)) @>\sim>> H^2(N.U, \mathcal{F}^\bullet(1)) @<\psi_m^*<< H^2(B.GL_1/V, \mathcal{F}^\bullet(1)). \end{CD}$$

For  $m$  large enough, the homotopy property yields that the bottom  $\psi_m^*$  is an isomorphism [16, 2.3]. Since in both theories  $\psi_m^*(a_1) = c_1(\mathcal{O}(1))$ , it suffices to check that  $l(c_1^f(\mathcal{O}(1))) = \tilde{c}_1(\mathcal{O}(1))$ , which we have already done above.  $\square$

Let now  $X \in \mathbf{L}$  be projective. Consider the morphism

$$l: H_f^*(X, \mathcal{H}(*)) \rightarrow H_{\text{ét}}^*(X_K, \mathbf{Q}_p(*)), \quad h = \omega t^{-1} l.$$

**Corollary 3.1.** *For  $i \geq 0$ ,  $2i - n - 1 \geq 0$ , the following diagram commutes*

$$\begin{array}{ccc} K_{2i-n-1}(X) \otimes \mathbf{Q} & & \\ r_p^{\text{ét}} \swarrow & & \searrow r_p^f \\ H_{\text{ét}}^{n+1}(X_K, \mathbf{Q}_p(i)) & \xleftarrow{h} & H_f^{n+1}(X, \mathcal{H}(i)). \end{array}$$

*Proof.* By Propositions 3.2 and 3.4.  $\square$

**Theorem 3.1.** *Let  $X$  be any smooth projective scheme over  $V$  and  $2i - n - 1 \geq 1$ . Then the étale regulator induces a map*

$$r_p^{\text{ét}}: K_{2i-n-1}(X) \otimes \mathbf{Q} \rightarrow H^1(G_K, H_{\text{ét}}^n(X_{\overline{K}}, \mathbf{Q}_p(i))),$$

*which factors through the subgroup  $H_f^1(G_K, H_{\text{ét}}^n(X_{\overline{K}}, \mathbf{Q}_p(i)))$ .*

*Proof.* It follows from Corollary 3.1, and Corollary 5.1 from [14], which shows that the following diagram commutes.

$$\begin{array}{ccc} H_f^{n+1}(X, \mathcal{H}(i))_0 & \xrightarrow{l} & H_{\text{ét}}^{n+1}(X_K, \mathbf{Q}_p(i))_0 \\ \uparrow \scriptstyle \delta & & \downarrow \scriptstyle \rho \\ H_f^1(G_K, H_{\text{ét}}^n(X_{\overline{K}}, \mathbf{Q}_p(i))) & \xrightarrow{l'} & H^1(G_K, H_{\text{ét}}^n(X_{\overline{K}}, \mathbf{Q}_p(i))), \end{array}$$

where  $l'$  is the canonical embedding of crystalline extensions into the unrestricted extensions and  $\rho$  comes from the Hochschild–Serre spectral sequence. Here

$$H_f^{n+1}(X, \mathcal{H}(i))_0 = \ker(H_f^{n+1}(X, \mathcal{H}(i)) \rightarrow H_f^0(G_K, H_{\text{ét}}^{n+1}(X_{\bar{K}}, \mathbf{Q}_p(i)))) , \text{ and}$$

$$H_{\text{ét}}^{n+1}(X_K, \mathbf{Q}_p(i))_0 = \ker(H_{\text{ét}}^{n+1}(X_K, \mathbf{Q}_p(i)) \rightarrow H_{\text{ét}}^{n+1}(X_{\bar{K}}, \mathbf{Q}_p(i))) . \quad \square$$

**Theorem 3.2.** *The image of the cycle class map*

$$(CH^i(X) \otimes \mathbf{Q})_{\text{hom} \sim 0} \xrightarrow{\text{cl}_X} H^1(G_K, H_{\text{ét}}^{2i-1}(X_{\bar{K}}, \mathbf{Q}_p(i)))$$

is contained in the subgroup  $H_f^1(G_K, H_{\text{ét}}^{2i-1}(X_{\bar{K}}, \mathbf{Q}_p(i)))$ .

*Proof.* Propositions 3.2, 3.4, and 4.1 from [14] yield a commutative diagram

$$\begin{array}{ccc} (CH^i(X) \otimes \mathbf{Q})_{\text{hom} \sim 0} & \xrightarrow{\text{cl}_X^f} & H_f^{2i}(X, \mathcal{H}(i))_0 \\ \parallel & & \downarrow l \\ (CH^i(X) \otimes \mathbf{Q})_{\text{hom} \sim 0} & \xrightarrow{\text{cl}_X} & H_{\text{ét}}^{2i}(X_K, \mathbf{Q}_p(i))_0 \end{array}$$

where, for an irreducible subscheme  $Y$  of codimension  $i$  in  $X$ ,  $\text{cl}_X^f([Y]) = (-1)^{i-1}/(i-1)!c_i^f(\mathcal{O}_Y)$  (since the map  $l$  is injective [14], it is a good definition). We finish as in Theorem 3.1.  $\square$

### Appendix A. Convergent crystalline Chern classes

Let  $X$  be a scheme over  $k$ . There is an exact sequence of sheaves on  $(X/V)_{\text{conv}}$ :

$$0 \rightarrow \mathcal{I}_{X/V} \rightarrow \mathcal{O}_{X/V} \rightarrow \mathcal{O}_X \rightarrow 0 ,$$

where the value of  $\mathcal{I}_{X/V}$  on the enlargement  $Z \hookrightarrow \mathcal{S}$  is the ideal  $I_Z(\mathcal{S})$  of  $Z$  in  $\mathcal{S}$  and  $\mathcal{O}_{X,\mathcal{S}} = \mathcal{O}_Z$ . Since  $I_Z^n(\mathcal{S}) \subset \pi\mathcal{O}_{\mathcal{S}}$  for some  $n$ , we have a multiplicative analogue

$$0 \rightarrow 1 + \mathcal{I}_{X/V} \rightarrow \mathcal{O}_{X/V}^* \rightarrow \mathcal{O}_X^* \rightarrow 0 .$$

Since  $\mathcal{O}_{\mathcal{S}}$  is  $p$ -adically complete, the series

$$\log(1+x) = \sum_{n=1}^{\infty} (-1)^{n-1} x^n/n, \quad x \in I_Z(\mathcal{S}) ,$$

converges and defines a homomorphism of abelian sheaves  $\log: 1 + \mathcal{I}_{X/V} \rightarrow \mathcal{H}_{X/V}$ . We define the canonical (in  $X$ ) map  $c_1: \mathcal{O}_X^*[-1] \rightarrow Ru_{X/V*}\mathcal{H}_{X/V}$  in  $\mathbf{D}^+(X_{\text{Zar}})$  as the composition

$$\mathcal{O}_X^*[-1] \rightarrow Ru_{X/V*}\mathcal{O}_X^*[-1] \rightarrow Ru_{X/V*}(1 + \mathcal{I}_{X/V}) \xrightarrow{\log} Ru_{X/V*}\mathcal{H}_{X/V} .$$

Assume now that  $X$  is embedded in a  $p$ -adic formal scheme  $\mathcal{Y}$ , formally smooth over  $V$ .

**Lemma A.1.** *The following diagram commutes in  $\mathbf{D}^+(\mathbf{X}_{\text{Zar}})$*

$$\begin{array}{ccccc}
 c_1: \mathcal{O}_X^*[-1] & \longrightarrow & Ru_{X/V*}\mathcal{O}_X^*[-1] & \longrightarrow & Ru_{X/V*}\mathcal{K}_{X/V} \\
 \uparrow \scriptstyle \simeq & & & & \downarrow \\
 \text{Cone}(1+J & \xrightarrow{-1} & \mathcal{O}_{\mathcal{Y}/X}^*[-1] & \xrightarrow{(\log, \text{dlog})} & \mathcal{K}_T \otimes \Omega_{\mathcal{Y}/V}^* \simeq u_{X/V*}\Omega_{\mathcal{Y}}^*,
 \end{array}$$

where  $T$  is the widening corresponding to  $\mathcal{Y}$  and  $X, J$  is the ideal of  $X$  in  $\mathcal{Y}/X$ , and  $\Omega_{\mathcal{Y}}^*$  is the acyclic for  $u_{X/V*}$  resolution of  $\mathcal{K}_{X/V}$  associated to  $\mathcal{Y}$ .

*Proof.* Recall [15, 5.4] that there exists a natural complex  $\Omega_{\mathcal{Y}}^*(\mathcal{O}_{X/V})$  and a map  $\mathcal{O}_{X/V} \rightarrow \Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})$  of complexes of sheaves on  $(X/V)_{\text{conv}}$  such that  $\Omega_{\mathcal{Y}}^i(\mathcal{O}_{X/V})$  is naturally a  $\Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})$ -module,  $u_{X/V*}\Omega_{\mathcal{Y}}^i(\mathcal{O}_{X/V}) \simeq \mathcal{O}_T \otimes \Omega_{\mathcal{Y}/V}^i$ , and  $R^k u_{X/V*}\Omega_{\mathcal{Y}}^i(\mathcal{O}_{X/V}) = 0$ , for  $k > 0$ . We claim that there is a surjective homomorphism  $\Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V}) \rightarrow \mathcal{O}_X$ . Indeed, it suffices to argue locally, so assume that  $X, \mathcal{Y}$  are affine and we have an enlargement  $T' = (A', I', z: \text{Spec}(A'/I') \rightarrow X)$  of  $X/V$ . Then

$$\Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})(T') = \mathcal{O}_{X/V}(T' \times T) \simeq \text{proj lim } \mathcal{O}_{(T' \times T)_n},$$

where  $(T' \times T)_n$  is the level  $n$  enlargement associated to the widening  $T' \times T$ . Since the natural morphism  $\mathcal{O}_{T' \times T} \rightarrow \text{proj lim } \mathcal{O}_{(T' \times T)_n}$  is a topological isomorphism (cf., [15, 3.3]), we have a natural surjection  $\text{proj lim } \mathcal{O}_{(T' \times T)_n} \rightarrow A'/I'$ .

Let now  $\mathcal{Z}$  be the kernel of the map  $\Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})^* \rightarrow \mathcal{O}_X^*$ . The last isomorphism gives that there is a well defined logarithm map  $\log: 1 + \mathcal{Z} \rightarrow \Omega_{\mathcal{Y}}^0$ . Denote by  $\mathcal{N}^\times$  the complex

$$1 + \mathcal{Z} \xrightarrow{\text{dlog}} \Omega_{\mathcal{Y}}^1 \rightarrow \Omega_{\mathcal{Y}}^2 \rightarrow \dots$$

We have a natural map  $\mathcal{N}^\times \xrightarrow{\log} \Omega_{\mathcal{Y}}^*$  defined in degree 0 by  $\log: 1 + \mathcal{Z} \rightarrow \Omega_{\mathcal{Y}}^0$  and in higher degrees by the identity. The commutative diagram of exact sequences

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{N}^\times & \longrightarrow & \Omega_{\mathcal{Y}}^{\times} & \longrightarrow & \mathcal{O}_X^* \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \parallel \\
 0 & \longrightarrow & 1 + \mathcal{I}_{X/V} & \longrightarrow & \mathcal{O}_{X/V}^* & \longrightarrow & \mathcal{O}_X^* \longrightarrow 0,
 \end{array}$$

where  $\Omega_{\mathcal{Y}}^{\times}$  is the complex

$$\Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})^* \xrightarrow{\text{dlog}} \Omega_{\mathcal{Y}}^1 \rightarrow \Omega_{\mathcal{Y}}^2 \rightarrow \dots,$$

yields a commutative diagram

$$\begin{array}{ccccc}
 Ru_{X/V*} \mathcal{O}_X^*[-1] & \longrightarrow & Ru_{X/V*}(1 + \mathcal{I}_{X/V}) & \xrightarrow{\log} & Ru_{X/V*} \mathcal{K}_{X/V} \\
 \parallel & & \downarrow & & \downarrow \\
 Ru_{X/V*} \mathcal{O}_X^*[-1] & \longrightarrow & Ru_{X/V*} \mathcal{N}^\times & \xrightarrow{\log^\bullet} & Ru_{X/V*} \Omega_{\mathcal{Y}}^\bullet
 \end{array}$$

Note also that the map  $\text{Cone}(\mathcal{N}^\times \xrightarrow{-1} \Omega_{\mathcal{Y}}^\bullet)[-1] \rightarrow \mathcal{N}^\times \xrightarrow{\log^\bullet} \Omega_{\mathcal{Y}}^\bullet$  is equal in  $\mathbf{D}^+(X/V)_{\text{conv}}$  to the map

$$\text{Cone}(\mathcal{N}^\times \xrightarrow{-1} \Omega_{\mathcal{Y}}^\bullet)[-1] \xrightarrow{\sim} \text{Cone}(1 + \mathcal{Z} \xrightarrow{-1} \Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})^*)[-1] \xrightarrow{(\log, \text{dlog})} \Omega_{\mathcal{Y}}^\bullet$$

(the complex  $\text{Cone}(1 + \mathcal{Z} \xrightarrow{-1} \Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})^*)[-1]$  maps quasi isomorphically to  $\text{Cone}(\mathcal{N}^\times \xrightarrow{-1} \Omega_{\mathcal{Y}}^\bullet)[-1]$  via the identity in degree 0 and  $(\text{dlog}, 1)$  in degree 1). To finish, we claim that  $u_{X/V*} \mathcal{Z} \simeq J$  and  $R^k u_{X/V*} \mathcal{Z} = 0$ , for  $k > 0$ . Indeed, since  $u_{X/V*} \Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V}) \simeq \mathcal{O}_T \simeq \mathcal{O}_{\mathcal{Y}|_X}$  and  $R^k u_{X/V*} \Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V}) = 0$ , for  $k > 0$ , it suffices to show that  $u_{X/V*} \mathcal{O}_X = \mathcal{O}_X$  and  $R^k u_{X/V*} \mathcal{O}_X = 0$ , for  $k > 0$ . The last fact follows from [15, 4.4]. Concerning  $u_{X/V*} \mathcal{O}_X$ , we easily compute [15, 4] that it is equal to  $\text{projlim } \mathcal{O}_{X, T_n}$ , which by [15, 3.3] is isomorphic to  $\mathcal{O}_X$ . This yields the commutative diagram of exact rows

$$\begin{array}{ccccccc}
 0 & \longrightarrow & u_{X/V*}(1 + \mathcal{Z}) & \longrightarrow & u_{X/V*} \Omega_{\mathcal{Y}}^0(\mathcal{O}_{X/V})^* & \longrightarrow & u_{X/V*} \mathcal{O}_X^* \longrightarrow 0 \\
 & & \uparrow \wr & & \uparrow \wr & & \uparrow \wr \\
 0 & \longrightarrow & 1 + J & \longrightarrow & \mathcal{O}_{\mathcal{Y}|_X}^* & \longrightarrow & \mathcal{O}_X^* \longrightarrow 0,
 \end{array}$$

which finishes our proof. □

Assume now that a  $p$ -adic formal scheme  $\mathcal{X}$ , formally smooth over  $V$ , lifts  $X$  and is itself embedded in a  $p$ -adic formal scheme  $\mathcal{Y}$ , formally smooth over  $V$ .

**Lemma A.2.** *The following diagram commutes in  $\mathbf{D}^+(X_{\text{Zar}})$*

$$\begin{array}{ccccc}
 \mathcal{O}_X^*[-1] & \xrightarrow{c_1} & Ru_{X/V*} \mathcal{K}_{X/V} & \longrightarrow & u_{X/V*} \Omega_{\mathcal{Y}}^\bullet \\
 \uparrow & & & & \uparrow \\
 \mathcal{O}_{\mathcal{X}}^*[-1] & \xleftarrow{\sim} & \text{Cone}(1 + J_{\mathcal{X}} \xrightarrow{-1} \mathcal{O}_{\mathcal{Y}|_X}^*)[-1] & \xrightarrow{(\log, \text{dlog})} & F^1 \Omega_{\mathcal{Y}|_V}^\bullet
 \end{array}$$

where  $J_{\mathcal{X}}$  is the ideal of  $\mathcal{X}$  in  $\mathcal{Y}|_X$ .

*Proof.* By Lemma A.1, it suffices to show that the diagram

$$\begin{array}{ccccc}
 \mathcal{O}_X^*[-1] & \xleftarrow{\sim} & \text{Cone}(1 + J \xrightarrow{-1} \mathcal{O}_{\mathcal{Y}/X}^*)[-1] & \xrightarrow{(\log, \text{dlog})} & \mathcal{H}_T \otimes \Omega_{\mathcal{Y}/V}^\bullet \\
 \uparrow & & \uparrow & & \uparrow \\
 \mathcal{O}_X^*[-1] & \xrightarrow{\sim} & \text{Cone}(1 + J_X \xrightarrow{-1} \mathcal{O}_{\mathcal{Y}/X}^*)[-1] & \xrightarrow{(\log, \text{dlog})} & F^1 \Omega_{\mathcal{Y}/V}^\bullet,
 \end{array}$$

where  $T$  is the widening corresponding to  $\mathcal{Y}$  and  $X$  and  $J$  is the ideal of  $X$  in  $\mathcal{Y}/X$ , commutes, which is obvious.  $\square$

In particular, when  $\mathcal{Y} = X$ , the crystalline  $c_1$  corresponds to the de Rham  $c_1 : \mathcal{O}_X^*[-1] \rightarrow \Omega_{X/V}^{\geq 1}$  via the quasi isomorphism  $\mathcal{H}_{X/V} \xrightarrow{\sim} \Omega_X^\bullet$ .

**Proposition A.1.** *Let  $n > 0$ ,  $\xi = c_1(\mathcal{O}(1)) \in H^2((\mathbf{P}_k^n/V)_{\text{conv}}, \mathcal{H}_{\mathbf{P}_k^n/V})$ . For a smooth scheme  $X$  over  $k$ , the natural map*

$$\bigoplus_i \pi_{\mathbf{P}_k^n/V}^*(\xi)^i \cup \pi_X^* : \bigoplus_{i=0}^n Ru_{X/V*} \mathcal{H}_{X/V}[-2i] \rightarrow Ru_{X/V*} R\pi_{X*} \mathcal{H}_{\mathbf{P}_k^n/V}$$

is an isomorphism.

*Proof.* It suffices to check it locally on  $X$ , so assume that  $X$  lifts to a  $p$ -adic formal scheme  $\mathcal{X}$ , formally smooth over  $V$ . Since  $Ru_{X/V*} \mathcal{H}_{X/V} \simeq Ru_{X/V*} \Omega_{\mathcal{X}}^\bullet \simeq \mathcal{O}_{\mathcal{X}}[1/p] \otimes \Omega_{\mathcal{X}/V}^\bullet$ ,  $Ru_{\mathbf{P}_k^n/V*} \mathcal{H}_{\mathbf{P}_k^n/V} \simeq Ru_{\mathbf{P}_k^n/V*} \Omega_{\mathbf{P}_k^n}^\bullet \simeq \mathcal{O}_{\mathbf{P}_k^n}[1/p] \otimes \Omega_{\mathbf{P}_k^n/V}^\bullet$ , and via this isomorphism  $\xi$  corresponds to the de Rham class (Lemma A.2), we are reduced to a similar statement in the de Rham cohomology, which is known to be true.  $\square$

Similarly in the lifted situation we have the following

**Proposition A.2.** *Let  $n > 0$ ,  $\xi = c_1(\mathcal{O}(1)) \in H^2((\mathbf{P}_k^n/V)_{\text{conv}}, F_{\mathbf{P}_k^n}^1)$ . For a  $p$ -adic formal scheme  $\mathcal{X}$ , formally smooth over  $V$ , lifting a scheme  $X$ , the natural map*

$$\begin{aligned}
 \bigoplus_i \pi_{\mathbf{P}_k^n/V}^*(\xi)^i \cup \pi_X^* : \bigoplus_{i=0}^n Ru_{X/V*} F_{\mathcal{X}}^{j-i}[-2i] \\
 \rightarrow Ru_{X/V*} R\pi_{X*} F_{\mathbf{P}_k^n}^j
 \end{aligned}$$

is an isomorphism for all integers  $j$ .

**Proposition A.3.** *There exists a unique theory of Chern classes, which to every simplicial smooth scheme  $X$  over  $k$  and every locally free sheaf of finite type  $\mathcal{E}$  on  $X$  associates an element  $c(\mathcal{E}) = \prod_i c_i(\mathcal{E}) \in \prod_i H^{2i}((X/V)_{\text{conv}}, \mathcal{H}_{X/V})$  such that*



- (normalization) if  $\mathcal{E}$  is invertible, then  $c_0(\mathcal{E}) = 1$  and  $c_1(\mathcal{E})$  is induced by the above constructed class;
- (functoriality) if  $f: X \rightarrow Y$  and  $\mathcal{E}$  is a locally free sheaf of finite type on  $Y$ , then  $c(f^*\mathcal{E}) = f^*(c(\mathcal{E}))$ ;
- (additivity) if  $0 \rightarrow \mathcal{E}' \rightarrow \mathcal{E} \rightarrow \mathcal{E}'' \rightarrow 0$  is an exact sequence of locally free sheaves of finite type, then  $c(\mathcal{E}) = c(\mathcal{E}')c(\mathcal{E}'')$ .

*Proof.* We define Chern classes via the above Dold–Thom isomorphism. Normalization and functoriality follow. To check additivity, note that by [8, 2.10], it suffices to do it in the universal case, i.e., over  $B \cdot GL(n, m)_k$ . The canonical isomorphism

$$H_{dR}^*(\widehat{B} \cdot GL(n, m)/V)[1/p] \xrightarrow{\sim} H^*((B \cdot GL(n, m)_k/V)_{\text{conv}}, \mathcal{K}_{B \cdot GL(n, m)_k/V})$$

carries, by Lemma A.2, de Rham Chern classes into our convergent Chern classes. Since additivity for de Rham Chern classes is well known, we are done. Uniqueness follows from the splitting principle.  $\square$

Similarly, we have a theory of Chern classes in the filtered cohomology  $c_i(\mathcal{E}) \in H^{2i}((X_k/V)_{\text{conv}}, F_X^i)$ , for a simplicial smooth  $V$ -scheme  $X$  and a locally free finite type sheaf  $\mathcal{E}$  on  $X$ , unique in an appropriate sense (additivity is proved as above by reduction to de Rham cohomology).

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