

Eighth course: september 25, 2007. <sup>11</sup>**2.2.4 Algebraic preliminaries: algebraic and transcendental elements, algebraic independence****Content**

Rings: domains (no zero divisor), Euclidean rings, examples ( $\mathbb{Z}$ ,  $k[X]$ ,  $\mathbb{Z}[i]$ ), PID (domain where any ideal is principal), UFD (unique factorization domain), further example ( $\mathbb{Z}[X]$ ,  $k[X_1, \dots, X_n]$ ).

Fields. Vector spaces, modules. Example:  $\mathbb{Z}$ -module = abelian group.

Extensions of fields. Subrings, subfields. Intersection of subrings, subfields, submodules, vector subspaces. Subrings or subfield generated by a subset:  $A[E]$ ,  $k(E)$  (and modules or vector spaces spanned by a subset). Special cases where  $E = \{\alpha_1, \dots, \alpha_n\}$ : finitely generated ring or field extension:  $A[\alpha_1, \dots, \alpha_n]$ ,  $k(\alpha_1, \dots, \alpha_n)$ . Simple extension ( $n = 1$ ).

Finite extension, example:  $\mathbb{Q}(i)/\mathbb{Q}$ . Degree  $[K : k]$  of a finite extension  $K/k$ . Multiplicativity of the degree for  $K_1 \subset K_2 \subset K_3$ .

Algebraic element over a field  $k$ : equivalent properties. Transcendental element, examples:  $e$  is transcendental over  $\mathbb{Q}$ ; also  $X$  in the field of rational fractions over the complex field is transcendental over  $\mathbb{C}$ . Irreducible (monic) polynomial of an algebraic element. For  $\alpha$  in  $\mathbb{C}$ , we have  $k(\alpha) = k[\alpha]$  iff  $\alpha$  is algebraic; computing the inverse of an algebraic element using Euclidean division and Bézout's Theorem.

Sum and product of algebraic elements: prove that they are algebraic either by linear algebra or by means of the theorem of the elementary symmetric functions (see § 2.2.5). Field  $\overline{\mathbb{Q}}$  of algebraic numbers, algebraic closure.

Example of an algebraic extension which is not finite:  $\overline{\mathbb{Q}}/\mathbb{Q}$ . Algebraic extension, any finite extension is algebraic.

Algebraically independent or dependent elements (algebraically free subset).

Examples: numbers, functions. Transcendence degree, transcendence basis of a finitely generated extension. Properties: additivity of the transcendence degree for  $K_1 \subset K_2 \subset K_3$ . Transcendence degree 0 means algebraic extension.

*Corollary:* algebraic independence over  $\mathbb{Q}$  is equivalent to algebraic independence over  $\overline{\mathbb{Q}}$ .

The transcendence degree of  $k(E)/k$  is  $\geq n$  if and only if there exists in  $E$  a set of at least  $n$  algebraically independent elements over  $k$ .

**Exercise 2.21.** Show that the field  $\overline{\mathbb{Q}}$  is an algebraic extension of  $\mathbb{Q}$  which is not finite.

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<sup>11</sup>Updated: October 12, 2007

**Hint.** One of many solutions is to check that for any  $n \geq 1$  the polynomial  $X^n - 2$  is irreducible.

A more challenging solution is to check that the numbers  $\sqrt{m}$ , where  $m$  ranges over the squarefree integers, are linearly independent over  $\mathbb{Q}$ . One may show by induction that if  $a_1, \dots, a_n$  are positive squarefree integers  $> 1$  which are pairwise relatively prime, then the  $2^n$  numbers

$$\sqrt{\prod_{i \in I} a_i}, \quad I \subset \{1, \dots, n\},$$

are linearly independent over  $\mathbb{Q}$ . Hence the field  $\mathbb{Q}(\sqrt{a_1}, \dots, \sqrt{a_n})$  has degree  $2^n$  over  $\mathbb{Q}$ .

**Exercise 2.22.** Let  $R$  and  $S$  be two rational fractions in  $k(T)$ . Show that there exists a non-zero polynomial  $F \in k[X, Y]$  such that  $F(R, S) = 0$ . Deduce that any set of at least two elements in  $k(T)$  consists of algebraically dependent elements, hence  $k(T)$  has transcendence degree 1 over  $k$ .

Generalize to  $k(T_1, \dots, T_n)$

**Exercise 2.23.** Let  $t_1, \dots, t_n$  be algebraically independent complex numbers. Check that any subset of  $\{t_1, \dots, t_n\}$  consists of algebraically independent element. Check that for any  $P$  and  $Q$  in  $\overline{\mathbb{Q}}[X_1, \dots, X_n]$  for which  $Q(t_1, \dots, t_n) \neq 0$  and such that the rational fraction  $R = P/Q$  is not constant, the number  $R(t_1, \dots, t_n)$  is transcendental.

**Exercise 2.24.** Check that an entire function (which means a complex function which is analytic in all of  $\mathbb{C}$ ) is transcendental if and only if it is not a polynomial. Check that a meromorphic function in  $\mathbb{C}$  is transcendental if and only if it is not a rational function.

## References

- [1] BÙI XUÂN HẢI – Lý Thuyết Trường & Galois, Nhà xuất bản Đại học Quốc gia NXB ĐHQG Tp HCM 2007.

There is a also good collection of Lecture Notes which are available on the internet. A list can be found at the URL

[http://www.numbertheory.org/ntw/lecture\\_notes.html](http://www.numbertheory.org/ntw/lecture_notes.html).

See for instance

*Algebraic Number Theory and commutative algebra*, Lecture Notes by Robert Ash

and

Course Notes by Jim Milne: *Algebraic number theory*.