

ALGEBRAIC INDEPENDENCE OF VALUES OF  
EXPONENTIAL AND ELLIPTIC FUNCTIONS

MICHEL WALDSCHMIDT

[Received October 3, 1985]

*Dedicated to Professor R.P. Bambah  
on the occasion of his 60th birthday*

**1. Introduction.** Let  $d_1, d_2, m$  be non-negative integers, with  $m > 0$ , let  $x_1, \dots, x_{d_1}$  (resp.  $y_1, \dots, y_m$ ) be complex numbers which are  $\mathbb{Q}$ -linearly independent, and let  $u_1, \dots, u_{d_2}$  be complex numbers. We consider a Weierstrass elliptic function  $\mathcal{P}$  with invariants  $g_2, g_3$ :

$$\mathcal{P}'^2 = 4\mathcal{P}^3 - g_2\mathcal{P} - g_3.$$

We denote by  $O$  the ring of endomorphisms of the elliptic curve associated with  $\mathcal{P}$ : hence  $O = \mathbb{Z}$  if  $\mathcal{P}$  has no complex multiplication, while  $O$  is an order of an imaginary quadratic field otherwise. We assume that  $u_1, \dots, u_{d_2}$  are linearly independent over  $O$ .

We denote by  $K_1$  the field generated over  $\mathbb{Q}(g_2, g_3)$  by the numbers  $\exp(x_i y_j)$ , ( $1 \leq i \leq d_1, 1 \leq j \leq m$ ), together with the numbers  $\mathcal{P}(u_k y_j)$ , ( $1 \leq k \leq d_2, 1 \leq j \leq m$ ) for which  $u_k y_j$  is not a pole of  $\mathcal{P}$ .

Next we define

$$K_2 = K_1(y_1, \dots, y_m),$$

$$K_3 = K_1(x_1, \dots, x_{d_1}, u_1, \dots, u_{d_2}),$$

and

$$K_4 = K_1(y_1, \dots, y_m, x_1, \dots, x_{d_1}, u_1, \dots, u_{d_2}).$$

Our main purpose is to give lower bounds for the transcendence degree  $t_i$  of  $K_i$  over  $\mathbb{Q}$ , for  $i = 1, 2, 3, 4$ .

We first give a short historical survey of this problem (§2). Next we state our main result (§3), which is a consequence of a general theorem dealing with algebraic groups (§4). The two next sections are devoted to

proofs, and we conclude by announcing some generalizations (§7).

## 2. Historical survey

(a) **Results of transcendence.** We get a transcendence result by asserting  $t_i > 0$  for some  $i = 1, 2, 3, 4$ .

Let us begin by the pure exponential case, i.e.  $d_2 = 0$ . The Hermite-Lindemann's theorem on the transcendence of  $e^\alpha$  (for non-zero algebraic  $\alpha$ ) is equivalent to the assertion  $t_4 > 0$  for  $d_1 > 0$ . Next the Gel'fond-Schneider's theorem on the transcendence of  $\alpha^\beta$  (for algebraic  $\alpha$  and  $\beta$  with  $\alpha \neq 0$ ,  $\log \alpha \neq 0$  and  $\beta \notin \mathbb{Q}$ ) is equivalent to either one of the following assertions:

$$t_2 > 0 \text{ for } d_1 \geq 1 \text{ and } m \geq 2$$

$$t_3 > 0 \text{ for } d_1 \geq 2.$$

Finally the so-called six-exponentials theorem, due to Siegel, Lang and Ramachandra reads:

$$t_1 > 0 \text{ if } d_1 m > m + d_1.$$

Next we consider the pure elliptic case, i.e.  $d_1 = 0$ . Schneider's theorem on the transcendence of  $\mathcal{P}(\alpha)$  (for non-zero algebraic  $\alpha$ , and for algebraic  $g_2, g_3$ ) can be written:

$$t_4 > 0 \text{ if } d_2 > 0.$$

The assertions

$$t_2 > 0 \text{ for } d_2 \geq 1 \text{ and } m \geq 3$$

and

$$t_3 > 0 \text{ for } d_2 \geq 2$$

are also due to Schneider, while Lang and Ramachandra proved

$$t_1 > 0 \text{ if } d_2 m > m + 2d_2.$$

Finally, in the general case ( $d_1 \geq 0, d_2 \geq 0$ ), the statement

$$t_3 > 0 \text{ for } d_1 \geq 1 \text{ and } d_2 \geq 1$$

is due to Schneider, the inequality

$$t_1 > 0 \text{ for } m(d_1 + d_2) > m + 2(d_1 + d_2)$$

can be deduced from a result of Lang on algebraic groups, while the refinement

$$t_1 > 0 \text{ for } m(d_1 + d_2) > m + d_1 + 2d_2$$

is a consequence of Ramachandra's work on functions satisfying an addition theorem.

In conclusion, the following statement includes results due to Hermite, Lindemann, Schneider, Gel'fond, Lang and Ramachandra (see [G], [L], [R], and [S]).

**THEOREM 1.1.**

- (i) If  $(d_1 + d_2)m > m + d_1 + 2d_2$ , then  $t_1 \geq 1$ .
- (ii) If  $(d_1 \geq 1 \text{ and } m \geq 2)$ , or  $(d_2 \geq 1 \text{ and } m \geq 3)$ , then  $t_2 \geq 1$ .
- (iii) If  $d_1 + d_2 \geq 2$ , then  $t_3 \geq 1$ .
- (iv) If  $d_1 + d_2 \geq 1$ , then  $t_4 \geq 1$ .

The relevant values for the assumption  $m(d_1 + d_2) > m + d_1 + 2d_2$  are as follows:

$m \geq$	2	3	3	3	4	4	5
$d_1 \geq$	3	2	1	0	1	0	0
$d_2 \geq$	0	0	2	4	1	3	2

(b) **Small transcendence degree.** If we have  $t_i \geq 0$  for some  $i = 1, 2, 3, 4$ , then two at least of the elements of  $K_i$  are algebraically independent.

In the pure exponential case, the first results were due to Gel'fond [G]. They have been refined by Shmelev, Tijdeman, Brownawell,... (see [W2]) and read now:

if  $d_1 m \geq 2(m + d_1)$ , then  $t_1 \geq 2$

if  $d_1 m \geq m + 2d_1$ , then  $t_2 \geq 2$ ; if  $d_1 m \geq 2m + d_1$ , then  $t_3 \geq 2$

if  $d_1 m > m + d_1$ , then  $t_4 \geq 2$ .

(Of course, the second and third results are equivalent).

In the pure elliptic case, the first results were due to Brownawell and Kubota [B-K]. Later using their zero estimate, Masser and Wüstholz [M-W1] proved the expected elliptic analog of the above mentioned result; the rule is to replace  $d_1$  by  $d_2$  in the left hand side of the assumed inequality, and by  $2d_2$  in the right hand side.

Finally, if one combines these results with recent works of Tubbs [T] and with theorem 2 of [W4], one concludes:

**THEOREM 1.2.**

- (i) If  $(d_1 + d_2)m \geq 2(m + d_1 + 2d_2)$ , then  $t_1 \geq 2$ .
- (ii) If  $(d_1 + d_2)m \geq m + 2(d_1 + 2d_2)$ , then  $t_2 > 2$ .
- (iii) If  $(d_1 + d_2)m \geq 2m + d_1 + 2d_2$ , then  $t_3 \geq 2$ .
- (iv) If  $(d_1 + d_2)m > m + d_1 + 2d_2$ , then  $t_4 \geq 2$ .

Several refinements, involving extra assumptions (periodicity, assumption that some of the considered numbers are algebraic, torsion points,...) are known (see [C], [T], [W2], [W4]).

There are also further results on "small transcendence degrees", in particular due to Chudnovsky [C] (see also [W2]), which yield  $t_i \geq 3$  for some  $i$  and which are slightly sharper than the results on "large transcendence degrees" we are going to discuss now.

(c) **Large transcendence degrees.** So far, in order to get lower bounds for  $t_i$  in terms of  $d_1, d_2, m$  which yield stronger results than  $t_i \geq 2$ , one needs for technical reasons extra assumptions on measures of linear

independence of the numbers  $x_i, y_j$  and  $u_k$ . It is an interesting and non trivial open problem to remove these assumptions.

We assume that for all  $\epsilon > 0$  there exists  $H_0 > 0$  such that for all  $(h_1, \dots, h_{d_1}) \in \mathbb{Z}^{d_1}$  with  $\max_{1 \leq i \leq d_1} |h_i| = H > H_0$ ,

$$|h_1 x_1 + \dots + h_{d_1} x_{d_1}| > \exp(-H^\epsilon). \quad (2.1)$$

Similarly, we assume that for all  $\epsilon > 0$  there exists  $L_0 > 0$  such that for all  $(\lambda_1, \dots, \lambda_m) \in \mathbb{Z}^m$  with  $\max_{1 \leq j \leq m} |\lambda_j| = L > L_0$ ,

$$|\lambda_1 y_1 + \dots + \lambda_m y_m| \exp(-L^\epsilon). \quad (2.2)$$

Finally, we assume that for all  $\epsilon > 0$ , there exists  $M_0 > 0$  such that for all  $(m_1, \dots, m_{d_2}) \in \mathcal{O}^{d_2}$  with  $\max_{1 \leq k \leq d_2} |m_k| = M > M_0$ ,

$$|m_1 u_1 + \dots + m_{d_2} u_{d_2}| > \exp(-M^\epsilon). \quad (2.3)$$

From now on in this section we shall assume that these assumptions hold.

The first result on large transcendence degrees (apart from the Lindemann-Weierstrass theorem which we mention briefly in §7 below) was provided by Chudnovsky in 1974, in the pure exponential case:

$$2^t > d_1 m / (m + d_1),$$

$$2^t > (d_1 + 1)m / (m + d_1) \text{ and } 2^t > d_1(m + 1) / (m + d_1),$$

$$2^t \geq (d_1 m + m + d_1) / (m + d_1).$$

Further works on this subject are due to Warkentin, Philippon, Reyssat, Endell and Nesterenko (see [W2] II §1). Recently, Philippon succeeded to prove a sharp refinement of these results, by replacing, essentially,  $2^t$  by  $t + 1$ :

$$t_1 \geq (d_1 m - d - m) / (m + d_1),$$

$$t_2 \geq d_1(m - 1) / (m + d_1) \text{ and } t_3 \geq (d_1 - 1)m / (m + d_1),$$

$$t_4 \geq d_1 m / (m + d_1).$$

In the pure elliptic case ( $d_1 = 0$ ), Masser and Wüstholz [M-W2] proved

$$2^{t_1+2} \cdot (t+8) \geq md_2/(m+2d_2),$$

under the assumption that  $g_2$  and  $g_3$  are algebraic (they also assumed  $O = \mathbb{Z}$ , but it is easy to remove this assumption). The refinement

$$2^{t_1} \geq md_2/(m+2d_2)$$

is given in [W3], without the assumption that  $g_2, g_3$  are algebraic, and the better result

$$t_1 \geq (md_2 - m - 2d_2)/(m + 2d_2)$$

(see [W3], cor. 13.3) involves a further technical assumption: let  $\tau = \omega_2/\omega_1$  be a quotient of a pair of fundamental periods of  $\mathcal{P}$ ; we assume that for all  $\varepsilon > 0$  there exists  $H_0 > 0$  such that for all  $H > H_0$  and all imaginary quadratic number  $\beta$  of height  $\leq H$ , with  $\tau \neq \beta$ ,

$$|\tau - \beta| > \exp(-H^\varepsilon). \quad (2.4)$$

Masser [M] (Th. I, p. 1) proved that this assumption (2.4) is automatically satisfied when the modular invariant

$$j = 1728 g_2^3/(g_2^3 - 27g_3^3)$$

is algebraic (for sharper estimates see [F-P]). It should not be too difficult to remove completely this assumption (2.4).

### 3. The main result.

**THEOREM 3.1.** *We assume that the conditions (2.1), (2.2), (2.3) and (2.4) hold. Then*

$$t_1 \geq ((d_1 + d_2)m - m - d_1 - 2d_2)/(m + d_1 + 2d_2),$$

$$t_2 \geq ((d_1 + d_2)m - d_1 - 2d_2)/(m + d_1 + 2d_2),$$

$$t_3 \geq (d_1 + d_2 - 1)m/(m + d_1 + 2d_2),$$

$$t_4 \geq (d_1 + d_2)m/(m + d_1 + 2d_2).$$

It should be pointed out that these lower bounds improve Phillipon's one (which correspond to  $d_2 = 0$ ) only if  $m > d_1$  for  $t_1$  and  $t_4$ ,  $m > d_1 + 2$  for  $t_2$ , and  $m > d_1 - 2$  for  $t_3$ .

The lower bound for  $t_4$ , say, is a very slight improvement compared

with the lower bound for  $t_1$ , since one adds only one in the right hand side, while we adjoin  $d_1 + d_2 + m$  numbers to  $K_1$  in order to get  $K_4$ . Because of this we will give the proof only for the lower bounds of  $t_1$  and  $t_2$  (which involve Schneider's method, while the two others involve Gel'fond's method).

Instead of taking only one  $\mathcal{P}$ -function, it is possible to consider several elliptic functions. However the technical assumptions (2.3) and (2.4) have to be modified accordingly (see [W3] Cor. 13.6). Let us give an example. Let  $E_i = C/\Omega_i$  ( $1 \leq i \leq d$ ) be elliptic curves over  $\bar{\mathbb{Q}}$  which are pairwise non-isogeneous. For  $1 \leq i \leq d$ , let  $\omega_i \in \Omega_i$ ,  $\omega_i \neq 0$ . Next, let  $y_1, \dots, y_m$  be  $\mathbb{Q}$ -linearly independent numbers. We assume that for all  $\epsilon > 0$  there exists  $M_0 > 0$  such that for all  $i = 1, \dots, d$ , for all  $\omega \in \Omega_i$ , and all  $(h_1, \dots, h_m) \in \mathbb{Z}^m$  with  $\max_{1 \leq j \leq m} |h_j| = M \geq M_0$ , if the number

$$\xi = \omega - \omega_i \cdot \sum_{j=1}^m h_j y_j$$

does not vanish, then

$$|\xi| > \exp(-M^\epsilon).$$

Then the transcendence degree of the field generated by  $\mathcal{P}_i(\omega_i y_j)$ , ( $1 \leq i \leq d$ ,  $i \leq j \leq m$ ) is at least  $2(dm - 2d - m + 1)/(m + 2d - 1)$ .

It is also possible to consider Weierstrass zeta or sigma functions. Indeed, the general result which can be proved involves algebraic groups. It is also possible to translate such a result in terms of functions satisfying an algebraic addition theorem (see [R]) by means of a result of Weil [We].

**4. Algebraic groups.** This section is devoted to the statement of a somewhat complicated result, which contains the hard part of the proof of large transcendence degrees, excluding the zero estimate.

Let  $K$  be a subfield of  $\mathbb{C}$  of transcendence degree  $t$  over  $\mathbb{Q}$ . Let  $d_0, d_1, d_2$  be non-negative integers with  $d = d_0 + d_1 + d_2 > 0$ . Define

$$G_0 = G_a^{d_0}, G_1 = G_m^{d_1},$$

and let  $G_2$  be a commutative algebraic group of dimension  $d_2$  which is

defined over  $K$ , and suitably embedded in a projective space  $P_N$  over  $K$  (see [W3]). Further define  $G = G_0 \times G_1 \times G_2$  in  $P_{d_0} \times P_{d_1} \times P_N = P$ .

Let  $n$  be a positive integer, and  $\varphi: C^n \rightarrow G(C)$  be an analytic homomorphism. We assume that the tangent map  $d_0\varphi: C^n \rightarrow T_G(C)$  of  $\varphi$  at the origin is injective, and that  $\varphi(C^n)$  is Zariski dense in  $G(C)$ . Further, we put  $\kappa = \text{rank}_Z \ker \varphi$ .

Let  $Y = Zy_1 + \dots + Zy_m$  be a finitely generated subgroup of  $C^n$  of rank  $m$  over  $Z$ , such that  $\Gamma = \varphi(Y)$  is contained in  $G(K)$ . Further let  $l = \text{rank}_Z \Gamma$ . Furthermore, let  $\mu^\#$  and  $\nu$  be positive real numbers such that

$$1 + t \left(1 - \frac{\kappa}{2n}\right) < (d\mu^\# + \kappa - d_1 - 2d_2)/n\mu^\# \quad (4.1)$$

and

$$t + 1 < \nu < \left(d - \frac{\kappa}{2} + \frac{\kappa - d_1 - 2d_2}{\mu^\#}\right) / \left(n - \frac{\kappa}{2}\right). \quad (4.2)$$

We choose a transcendence basis  $(\theta_1, \dots, \theta_t)$  of  $K$  over  $Q$ , and a primitive element  $\theta_{t+1} \in K$  of  $K$  over  $Q(\theta_1, \dots, \theta_t)$ , which is integral over  $Z[\theta_1, \dots, \theta_t]$ . Let  $B \in Z[X_1, \dots, X_{t+1}]$  be such that  $B(\theta_1, \dots, \theta_t, X)$  is the minimal polynomial of  $\theta_{t+1}$  over  $Q(\theta_1, \dots, \theta_t)$ .

There exist a constant  $c > 0$  such that, for each  $(\tilde{\theta}_1, \dots, \tilde{\theta}_t) \in C^t$  with

$$\max_{1 \leq \tau \leq t} |\tilde{\theta}_\tau - \theta_\tau| \leq c,$$

we can define  $\tilde{\theta}_{t+1}$  to be the unique root of  $B(\tilde{\theta}_1, \dots, \tilde{\theta}_t, X)$  which is at minimal distance of  $\theta_{t+1}$ , we can construct an algebraic group  $\tilde{G}$  defined over the field  $\tilde{K} = Q(\tilde{\theta}_1, \dots, \tilde{\theta}_{t+1})$ , and we can also deform the points  $\gamma_j = \varphi(y_j)$  of  $G(K)$  into points  $\tilde{\gamma}_j$  of  $\tilde{G}(\tilde{K})$  (see [W3] for details).

Finally, we choose a sufficiently large integer  $c_0 > 0$ , next an integer  $S_0$  much larger than  $c_0$ . For each  $S > S_0$ , we define  $D_0, D_1, D_2, \Delta$  as functions of  $S$  by

$$D_0 \log S = D_1 S = D_2 S^2 = c_0^{-1} \cdot S^{\mu^\#}.$$



**THEOREM 4.3.** *There exists an unbounded set of real numbers  $S > S_0$  with the following property. For each such  $S$ , there exists  $(\tilde{\theta}_1, \dots, \tilde{\theta}_t) \in \mathcal{C}^t$ , satisfying*

$$\max_{1 \leq \tau \leq t} |\tilde{\theta}_\tau - \theta_\tau| < \exp(-2S^\nu)$$

such that, if we define  $\tilde{\theta}_{t+1}, \tilde{\gamma}_1, \dots, \tilde{\gamma}_m, \tilde{G}$  as before, then the set

$$\tilde{\Gamma}(S) = \{h_1 \tilde{\gamma}_1 + \dots + h_m \tilde{\gamma}_m; h_j \in \mathbb{Z}, 0 \leq h_j \leq S, 1 \leq j \leq m\}$$

is contained in an algebraic hypersurface of  $\mathbb{P}^m$ , of multidegrees at most  $(D_0, D_1, D_2)$ , which does not contain  $\tilde{G}(\tilde{K})$ .

We sketch the proof of theorem 4.3 in §5 below, while in §6 we show how to deduce theorem 3.1 by using a zero estimate due to Philippon.

Notice that theorem 4.3 is a refinement of [W3] Th. 9.1.

**5. Proof of Theorem 4.3.** The proof is a slight modification of the one given in [W3]. We just mention the differences.

In the first stage, we replace the assumptions (4.1) and (4.2) by the stronger ones

$$1 + t \left(1 - \frac{\kappa}{2n} + \frac{1}{n}\right) < \frac{d}{n} + \frac{\kappa - d_1 - 2d_2}{n\mu^\#} \tag{5.1}$$

and

$$t + 1 < v < \left(d + 1 - \frac{\kappa}{2} + \frac{\kappa - d_1 - 2d_2}{\mu^\#}\right) \left/ \left(n + 1 - \frac{\kappa}{2}\right) \right. \tag{5.2}$$

We apply Proposition 2.1 of [W1] with  $U$  and  $r$  defined by

$$U^{n+1-\kappa/2} = c_0^{-2n} \cdot \Delta^{d+1-\kappa/2} S^{-d_1-2d_2+\kappa} (\log S)^{-d_0},$$

and

$$r = S(U/c_0\Delta)^{1/2}.$$

This choice is done in such a way that

$$D_2 r^2 \leq c_0 U \text{ (with } D_2 = \Delta/S^2)$$

and

$$U^{n+1} \leq c_0^{-2} D_0^{d_0} D_1^{d_1} D_2^{d_2} r^t \Delta,$$

while the assumption (5.1) implies

$$\Delta^{t+1} \leq c_0^{-2} U.$$

We construct an auxiliary function using the method of [W1] §2. The main two differences with the proof of [W1] Prop. 2.4 are the following ones:

1. The values of the parameters  $U$  and  $r$  are different.

2. We replace  $\bar{Q}$  by  $K$ ; hence, instead of using Liouville's inequality ("deuxième pas" in [W1] p. 641), we use Philippon's criterion ([P1]; see also [W3] §2).

This yields the conclusion of theorem 4.3 under the assumptions (5.1) and (5.2).

The last stage is to use the so-called Landau-Philippon's trick: assuming only (4.1) and (4.2), we choose an integer  $k \geq 1$  large enough such that

$$1 + t \left( 1 - \frac{\kappa}{2n} + \frac{1}{nk} \right) < \frac{d}{n} + \frac{\kappa - d_1 - 2d_2}{n\mu^\#}$$

and

$$t + 1 < v < \left( d + \frac{1}{k} - \frac{\kappa}{2} + \frac{\kappa - d_1 - 2d_2}{\mu^\#} \right) / \left( n + \frac{1}{k} - \frac{\kappa}{2} \right),$$

and we apply the result we just proved to  $Y^k, G^k$ , with  $n, \kappa, d_0, d_1, d_2, d$  replaced by  $kn, k\kappa, kd_0, kd_1, kd_2, kd$ , while  $t, \mu^\#, v$  are unchanged (compare with [W3] lemma 5.3). It is convenient here to introduce the following notation:

**DEFINITION.** Let  $G = \mathbf{G}_a^{d_0} \times \mathbf{G}_m^{d_1} \times G_2$  be an algebraic group of dimension  $d = d_0 + d_1 + d_2$  as before, and  $\Gamma = \mathbf{Z}\gamma_1 + \dots + \mathbf{Z}\gamma_m$  be a finitely generated subgroup of  $G(K)$ . For each integer  $S \geq 1$ , we define  $\omega^\#(\Gamma(S), G)$  as the minimum of the real numbers  $\Delta > 0$  such that there exists a multihomogeneous polynomial of multidegrees at most  $(D_0, D_1, D_2)$ , with

$$D_0 \log S = D_1 S = D_2 S^2 = \Delta$$

which vanishes on  $\Gamma(S)$ , but not on all  $G$ .

**LEMMA 5.3.** For each integer  $k \geq 1$ ,

$$\omega^\#(\Gamma^k(S), G^k) = \omega^\#(\Gamma(S), G).$$

We conclude the proof of theorem 4.3 by using lemma 5.3 for  $\tilde{\Gamma}$  and  $\tilde{G}$ .

**6. Proof of Theorem 3.1.** The difference now with the proof of [W 3] is that we replace the zero estimate of Masser-Wüstholz (see [M-W 2] Chap. 1, and [W 3] §6) by a refinement due to Philippon [P 2].

**DEFINITION.** Let  $G = G_a^{d_0} \times G_m^{d_1} \times G_2$  be an algebraic group of dimension  $d = d_0 + d_1 + d_2$  over a subfield  $K$  of  $\mathbb{C}$ , and let  $\Gamma$  be a finitely generated subgroup of  $G(K)$ . We define

$$\mu^\#(\Gamma, G) = \min_H (\lambda + r_1 + 2r_2)/r,$$

where  $H$  runs over the algebraic subgroups of  $G$  defined over  $K$ , with  $H \neq G$ ,  $r = \dim G/H$ , and  $r_0, r_1$  are the largest integers such that

$$G/H = G_a^{r_0} \times G_m^{r_1} \times G',$$

and where  $r_2 = r - r_0 - r_1$ , and  $\lambda = \text{rank}_{\mathbb{Z}} \Gamma/\Gamma \cap H$ .

A corollary of Philippon's result in [P 2] is:

$$\omega^\#(\Gamma(S), G) \geq cS^{\mu^\#(\Gamma, G)},$$

where  $c$  does not depend on  $S$ .

More precisely, under the hypotheses of theorem 4.3 there exists an algebraic subgroup  $H$  of  $\tilde{G}$ , with  $\tilde{G}/H = G_a^{r_0} \times G_m^{r_1} \times G'$ ,  $\dim G' = r_2$ ,  $r = r_0 + r_1 + r_2$ , say, where  $H$  is defined by equation of multidegrees at most  $(D_0, D_1, c_0 D_2)$ , and there exist elements  $h^{(1)}, \dots, h^{(l-\lambda)}$  of

$$\mathbb{Z}_{\pm}^m(S) = \{(h_1, \dots, h_m) \in \mathbb{Z}^m, |h_j| \leq S, 1 \leq j \leq m\}$$

which are  $\mathbb{Z}$ -linearly independent, with

$$0 < \lambda \leq l, 1 \leq r \leq d, (\lambda + r_1 + 2r_2)/r < \mu^\#,$$

such that, if  $h^{(s)} = (h_1^{(s)}, \dots, h_m^{(s)})$ , then

$$\sum_{j=1}^m h_j^{(s)} \tilde{\gamma}_j \in H(\tilde{K}), \quad (1 \leq s < l - \lambda).$$

Now, for the proof of the two first inequalities in theorem 3.1, we use what we just proved for  $G_2 = E^{d_2}$ , where  $E$  is the elliptic curve associated with  $\mathcal{P}$ , and  $d_0 = 0$  when we work with  $t_1$ , while  $d_0 = 1$  for  $t_2$ .

Next we follow the proof given in [W 3] §13: one uses a refined version of Kolchin's theorem [M-W 2] (Chap. III) in order to describe explicitly the algebraic subgroups of  $\tilde{G} = G_a^{d_0} \times G_m^{d_1} \times \tilde{E}^{d_2}$ . The assumption (2.4), together with [W 3] lemma 13.10, enables one to write

$$H = H_0 \times H_1 \times \tilde{H}_2,$$

where  $H_2$  is an algebraic subgroup of  $E^{d_2}$ . Finally, one uses [W 3] lemma 13.9 (in the simplest case  $n = 1$ ) to get a contradiction with assumption (2.1), (2.2) and (2.3).

#### 7. Further results.

(a) Using the arguments of [W 1] and [W 3], it is not difficult to extend theorem 3.1 to  $n$ -variables. One takes  $x_i, y_j, u_k$  in  $C^n$ , one replaces the products like  $x_i y_j$  by the usual scalar product in  $C^n$ , and the ranks like  $m = \text{rank}_{\mathbb{Z}} Y$  by the Dirichlet exponent  $\mu(Y, C^n)$ .

(b) Similar results can be proved in the  $p$ -adic case too.

(c) As mentioned earlier, we proved the lower bounds for  $t_1$  and  $t_2$  only, because the results for  $t_3$  and  $t_4$  involve only very small improvements. However, there is one circumstance where the use of derivatives yields strong results, namely the situation of theorems of Lindemann-Weierstrass type. Chudnovsky was the first to notice that Gel'fond's method can be used to prove for instance the algebraic independence of  $e^{\alpha_1}$  and  $e^{\alpha_2}$ , or of  $\mathcal{P}(\alpha_1)$  and  $\mathcal{P}(\alpha_2)$  in the  $CM$  case (where  $\alpha_1, \alpha_2$  are algebraic numbers which are linearly independent over  $\mathbb{Z}$  or  $O$  respectively). A survey of recent works in this direction is given in [P 3].

(d) It seems reasonable to expect that, in the situation of §4, the lower bound

$$1 + t \geq (d\mu^\#(\Gamma, G) + \kappa - d_1 - 2d_2)/n\mu^\#(\Gamma, G)$$

holds, without further assumptions. This would yield

$$1 + t \geq d\mu(Y, C^n)/(n\mu(Y, C^n) + d_1 + 2d_2 - \kappa).$$

The next step would be to replace  $(d_0, d_1, d_2)$  by  $(d_a, d_m, g)$  when  $G$  is an extension of an abelian variety of dimension  $g$  by  $G_a^{d_a} \times G_m^{d_m}$ .

## REFERENCES

- [B-K] BROWNAWELL, W.D., and KUBOTA, K.K. The algebraic independence of Weierstrass functions and some related numbers; *Acta Arith.*, 33 (1977), 111-149.
- [C] CHUDNOVSKY, G.V. *Contributions to the theory of transcendental numbers*; Math. surveys and monographs, N°19, Amer. Math. Soc., 1984.
- [F-P] FAISANT, A., ET PHILIBERT, G. Quelques resultats de transcendance lies a l'invariant modulaire  $j$ ; to appear in *J. Number Theory*.
- [G] GEL'FOND, A.O. *Transcendental and algebraic numbers*, GITTL Moscow, 1952. English transl.: Dover, New-York, 1960.
- [L] LANG, S. *Introduction to transcendental numbers*. Addison Wesley, 1966.
- [M] MASSER, D.W. *Elliptic functions and transcendence*. Lecture Notes in Math., 437, Springer Verlag 1975.
- [M-W1] MASSER, D.W., AND WUSTHOLZ, G. Algebraic independence properties of values of elliptic functions. In: *Journées arithmétiques 1980*, Ed. J.V. Armitage, London Math. Soc. Lect. Note Ser., 56 (1982), 360-363.
- [MWZ] MASSER, D.W., AND WUSTHOLZ, G. Fields of large transcendence degree generated by values of elliptic functions. *Invent. Math.*, 72 (1983), 407-464.
- [P1] PHILIPPON, P. Critères d'indépendance algébrique. To appear in *Publ. Math. IHES*.
- [P2] PHILIPPON, P. Lemmes de zéros dans les groupes algébriques commutatifs. To appear in *Bull. Soc. Math. France*.
- [P3] PHILIPPON, P. Indépendance et groupes algébriques; CMS 85 Number Theory Seminar, Concordia University (Montreal), 18 Juin 1985.
- [R] RAMACHANDRA, K. Contributions to the theory of transcendental numbers; *Acta Arith.*, 14 (1968) 65-88.
- [S] SCHNEIDER, TH. *Introduction aux nombres transcendants*. Springer, 1957; trad. franc. Gauthier-Villars, 1959.
- [T] TUBBS, R. Algebraic groups and small transcendence degree I; to appear in *J. Number Theory*.
- [W1] WALDSCHMIDT, M. Sous-groupes analytiques de groupes algébriques; *Annals of Math.*, 117 (1983), 627-657.
- [W2] WALDSCHMIDT, M. Algebraic independence of transcendental numbers. Gel'fond's method and its developments; in: *Perspectives in Math., Anniversary of Oberwolfach 1984*, Birkhauser, 551-571.
- [W3] WALDSCHMIDT, M. Groupes algébriques et grands degrés de transcendance: *Acta Math.*, to appear.

- [W4] WALDSCHMIDT, M. Petits degres de transcendance par la methode de Schneider en une variable: C.R. Math. Rep. Acad. Sci. Canada, VII n°2 (1985) 143-148.
- [We] WEIL, A. On algebraic groups of transformations; Amer. J. Math., 77 (1955), 355-391; Oeuvres Scientifiques-Collected Papers, vol. II, p. 197 233 (Springer Verlag, 1980).

Michel Waldschmidt  
Institut Henri Poincare  
11, rue Pierre et Marie Curie  
75231 Paris Cedex 05, France