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History of irrational and transcendental numbers

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Abstract

The transcendence proofs for constants of analysis are essentially all based on the seminal work by Ch. Hermite : his proof of the transcendence of the number e in 1873 is the prototype of the methods which have been subsequently developed. We first show how the founding paper by Hermite was influenced by earlier authors (Lambert, Euler, Fourier, Liouville), next we explain how his arguments have been expanded in several directions : Padé approximants, interpolation series, auxiliary functions.

Numbers : rational, irrational

Numbers = real or complex numbers \mathbf{R} , \mathbf{C} .

Natural integers : $\mathbf{N} = \{0, 1, 2, \dots\}$.

Rational integers : $\mathbf{Z} = \{0, \pm 1, \pm 2, \dots\}$.

Rational numbers :

a/b with a and b rational integers, $b > 0$.

Irreducible representation :

p/q with p and q in \mathbf{Z} , $q > 0$ and $\gcd(p, q) = 1$.

Irrational number : a real (or complex) number which is not rational.

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Sums and products of rational numbers are rational numbers :

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}, \quad \frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}.$$

The set of rational numbers is a field.

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The sum of an rational number and an irrational number is irrational. This is a consequence of the fact that the sum of two rational numbers is rational.

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Infinite series

Main question : Is the sum of a convergent series of rational numbers a rational or an irrational number ?

Answer : It may be rational or irrational !

Example of a rational sum (geometric series) :

$$2 = 1 + \frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3} + \frac{1}{2^4} + \cdots = \sum_{n=0}^{\infty} \frac{1}{2^n}.$$

Example of an irrational sum :

$$e = 1 + \frac{1}{1} + \frac{1}{1 \cdot 2} + \frac{1}{1 \cdot 2 \cdot 3} + \frac{1}{1 \cdot 2 \cdot 3 \cdot 4} + \cdots = \sum_{n=0}^{\infty} \frac{1}{n!}.$$

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Algebraic number : a complex number which is root of a non-zero polynomial with rational coefficients.

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The set of algebraic numbers is a field

Sums and products of algebraic numbers are algebraic numbers.

For instance a polynomial with rational coefficients vanishing at $\sqrt{2} + \sqrt{3}$ is

$$(X - \sqrt{2} - \sqrt{3})(X - \sqrt{2} + \sqrt{3})(X + \sqrt{2} - \sqrt{3})(X + \sqrt{2} + \sqrt{3}).$$

In general if

$$\prod_{i=1}^m (X - \alpha_i) \quad \text{and} \quad \prod_{j=1}^n (X - \beta_j)$$

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where j is root of $X^2 + X + 1$, so that

$$X^3 - 5 = (X - \sqrt[3]{5})(X - j\sqrt[3]{5})(X - j^2\sqrt[3]{5}).$$

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Another proof of this fact is the following : *a complex number α is algebraic if and only if the vector space spanned by $1, \alpha, \alpha^2, \alpha^3 \dots$ over the rational has finite dimension.*

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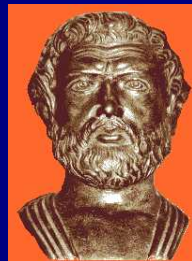
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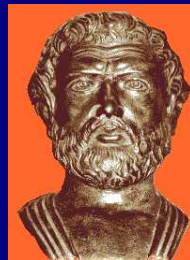
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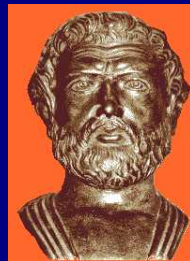
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Classical proof of the irrationality of $\sqrt{2}$

Assume $\sqrt{2} = p/q$ with p and q without common factor.

Hence one at least of p, q is odd.

By definition of $\sqrt{2}$ we have $p^2/q^2 = 2$, which means

$$p^2 = 2q^2.$$

Hence p is even : write $p = 2a$.

Now $p^2 = 4a^2$ and $4a^2 = 2q^2$.

This yields

$$2a^2 = q^2$$

and q is even !

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Irrationality of $\sqrt{2}$: geometric proof

- Start with a rectangle have side length 1 and $1 + \sqrt{2}$.
- Decompose it into two squares with sides 1 and a smaller rectangle of sides $1 + \sqrt{2} - 2 = \sqrt{2} - 1$ and 1.
- This second small rectangle has side lengths in the proportion

$$\frac{1}{\sqrt{2} - 1} = 1 + \sqrt{2},$$

which is the same as for the large one.

- Hence the second small rectangle can be split into two squares and a third smaller rectangle, the sides of which are again in the same proportion.
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$$\frac{1}{\sqrt{2} - 1} = 1 + \sqrt{2},$$

which is the same as for the large one.

- Hence the second small rectangle can be split into two squares and a third smaller rectangle, the sides of which are again in the same proportion.
- This process does not end.

Irrationality of $\sqrt{2}$: geometric proof

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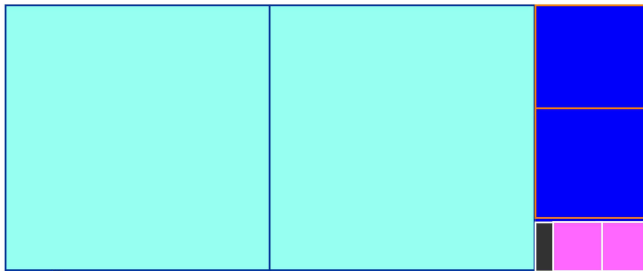
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Rectangles with proportion $1 + \sqrt{2}$



Irrationality of $\sqrt{2}$: geometric proof

If we start with a rectangle having integer side lengths, then this process stops after finitely many steps (the side lengths are positive decreasing integers).

Also for a rectangle with side lengths in a rational proportion, this process stops after finitely many steps (reduce to a common denominator and scale).

Hence $1 + \sqrt{2}$ is an irrational number, and $\sqrt{2}$ also.

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The fabulous destiny of $\sqrt{2}$



- Benoît Rittaud, Éditions *Le Pommier* (2006).

<http://www.math.univ-paris13.fr/~rittaud/RacineDeDeux>

Continued fraction

The number

$$\sqrt{2} = 1,414\,213\,562\,373\,095\,048\,801\,688\,724\,209 \dots$$

satisfies

$$\sqrt{2} = 1 + \frac{1}{\sqrt{2} + 1}.$$

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$$\sqrt{2} = 1 + \frac{1}{2 + \frac{1}{\sqrt{2} + 1}} = 1 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{\ddots}}}}$$

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Continued fractions



- H.W. Lenstra Jr,
Solving the Pell Equation,
Notices of the A.M.S.
49 (2) (2002) 182–192.

Irrationality criteria

A real number is rational if and only if its continued fraction expansion is finite.

A real number is rational if and only if its binary (or decimal, or in any basis $b \geq 2$) expansion is *ultimately periodic*.

Consequence : it should not be so difficult to decide whether a given number is rational or not.

To prove that certain numbers (occurring as constants in analysis) are irrational is most often an impossible challenge. However to construct irrational (even transcendental) numbers is easy.

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Euler–Mascheroni constant



Euler's Constant is

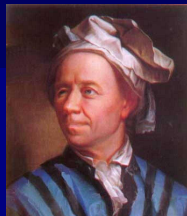
$$\begin{aligned}\gamma &= \lim_{n \rightarrow \infty} \left(1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} - \log n \right) \\ &= 0,577\,215\,664\,901\,532\,860\,606\,512\,090\,082 \dots\end{aligned}$$

Is it a rational number?

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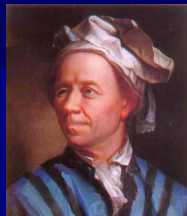
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Riemann zeta function

The function

$$\zeta(s) = \sum_{n \geq 1} \frac{1}{n^s}$$

was studied by Euler (1707– 1783)

for integer values of s

and by Riemann (1859) for complex values of s .



Euler : for any even integer value of $s \geq 2$, the number $\zeta(s)$ is a rational multiple of π^s .

Examples : $\zeta(2) = \pi^2/6$, $\zeta(4) = \pi^4/90$, $\zeta(6) = \pi^6/945$,
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Denominators : Bernoulli numbers.

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Introductio in analysin infinitorum



Leonhard Euler

(15 Avril 1707 – 1783)

Introductio in analysin infinitorum

Divergent series

Euler :

$$1 - 1 + 1 - 1 + 1 - 1 + \dots = \frac{1}{2}$$

$$1 + 1 + 1 + 1 + 1 + \dots = -\frac{1}{2}$$

$$1 + 2 + 3 + 4 + 5 + \dots = -\frac{1}{12}$$

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Geometric series

- Let x be a real number and n an integer. Consider the sum of n terms

$$S_n(x) = x + x^2 + x^3 + \cdots + x^n.$$

- For instance $S_n(1) = n$ for all n .
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Proof

$$S_n(x) = x + x^2 + x^3 + \cdots + x^n$$

Multiply by x :

$$xS_n(x) = x^2 + x^3 + x^4 + \cdots + x^{n+1}$$

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For $-1 < x < 1$ we have

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The right hand side at $x = -1$ is $1/2$. Hence the value $1/2$ given by Euler to the infinite sum

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Since

$$4A = 4 + 8 + 12 + \dots$$

we obtain by subtraction

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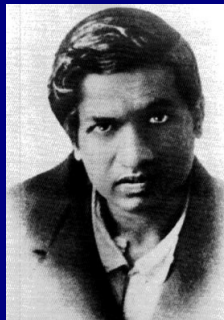
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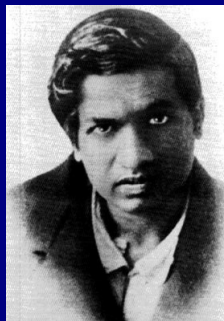
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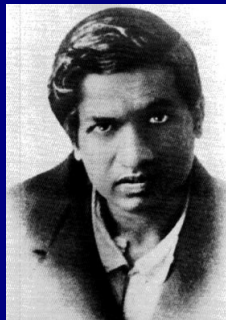
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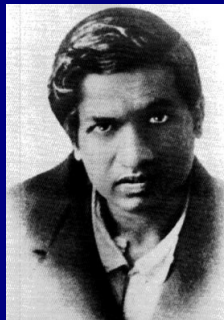
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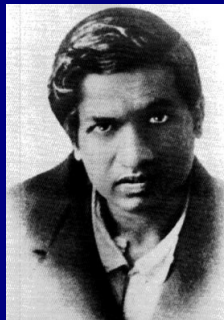
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Answer of M.J.M. Hill in 1912

$$1 + 2 + 3 + \cdots + n = \frac{1}{2}n(n + 1)$$

$$1^2 + 2^2 + 3^2 + \cdots + n^2 = \frac{n(2n + 1)(n + 1)}{6}$$

$$1^3 + 2^3 + 3^3 + \cdots + n^3 = \left(\frac{n(n + 1)}{2} \right)^2$$

First letter from Ramanujan to Hardy (January 16, 1913)

$$1 - 2 + 3 - 4 + \dots = \frac{1}{4}$$

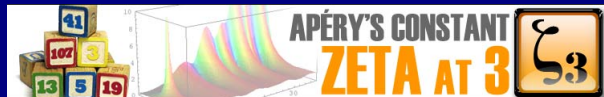
$$1 - 1! + 2! - 3! + \dots = .596 \dots$$

Answer from Hardy (February 8, 1913)

I was exceedingly interested by your letter and by the theorems which you state. You will however understand that, before I can judge properly of the value of what you have done, it is essential that I should see proofs of some of your assertions. Your results seem to me to fall into roughly three classes :

- (1) there are a number of results that are already known, or easily deducible from known theorems ;*
- (2) there are results which, so far as I know, are new and interesting, but interesting rather from their curiosity and apparent difficulty than their importance ;*
- (3) there are results which appear to be new and important. . .*

Riemann zeta function



The number

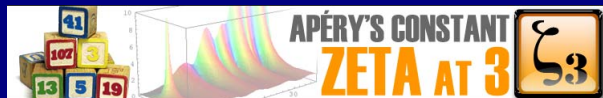
$$\zeta(3) = \sum_{n \geq 1} \frac{1}{n^3} = 1,202\,056\,903\,159\,594\,285\,399\,738\,161\,511 \dots$$

is irrational (*Apéry 1978*).

Recall that $\zeta(s)/\pi^s$ is rational for any even value of $s \geq 2$.

Open question : Is the number $\zeta(3)/\pi^3$ irrational?

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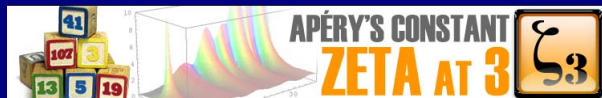
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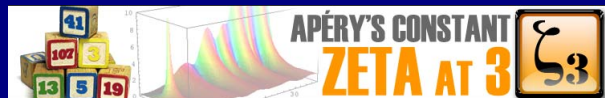
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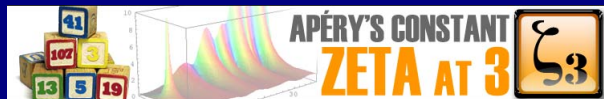
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T. Rivoal (2000) : infinitely many $\zeta(2n + 1)$ are irrational.

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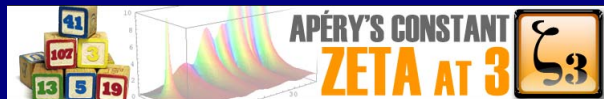
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Open problems (irrationality)

- Is the number

$$e + \pi = 5,859\,874\,482\,048\,838\,473\,822\,930\,854\,632 \dots$$

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- Is the number

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Catalan's constant

Is Catalan's constant

$$\sum_{n \geq 1} \frac{(-1)^n}{(2n+1)^2}$$

$= 0,915\,965\,594\,177\,219\,015\,0\dots$

an irrational number?

This is the value at $s = 2$ of the Dirichlet L -function $L(s, \chi_{-4})$ associated with the Kronecker character

$$\chi_{-4}(n) = \left(\frac{n}{4}\right),$$

which is the quotient of the Dedekind zeta function of $\mathbb{Q}(i)$ and the Riemann zeta function.



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Is the number

$$\Gamma(1/5) = 4,590\ 843\ 711\ 998\ 803\ 053\ 204\ 758\ 275\ 929\ 152 \dots$$

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$$\Gamma(z) = e^{-\gamma z} z^{-1} \prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right)^{-1} e^{z/n} = \int_0^{\infty} e^{-t} t^z \cdot \frac{dt}{t}$$

Here is the set of rational values for z for which the answer is known (and, for these arguments, the Gamma value is a transcendental number) :

$$r \in \left\{ \frac{1}{6}, \frac{1}{4}, \frac{1}{3}, \frac{1}{2}, \frac{2}{3}, \frac{3}{4}, \frac{5}{6} \right\} \pmod{1}.$$

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Known results

Irrationality of the number π :

Āryabhaṭa, b. 476 AD : $\pi \sim 3.1416$.

Nilakaṇṭha Somayājī, b. 1444 AD : *Why then has an approximate value been mentioned here leaving behind the actual value? Because it (exact value) cannot be expressed.*

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Continued fraction expansion of $\tan(x)$

$$\tan(x) = \frac{1}{i} \tanh(ix), \quad \tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}.$$

$$\tan(x) = \frac{x}{1 - \frac{x^2}{3 - \frac{x^2}{5 - \frac{x^2}{7 - \frac{x^2}{9 - \frac{x^2}{\ddots}}}}}}.$$



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$$\begin{aligned} e &= \lim_{n \rightarrow \infty} (1 + 1/n)^n \\ &= 2, 718\ 281\ 828\ 459\ 045\ 235\ 360\ 287\ 471\ 352 \dots \\ &= 1 + 1 + \frac{1}{2} \cdot (1 + \frac{1}{3} \cdot (1 + \frac{1}{4} \cdot (1 + \frac{1}{5} \cdot (1 + \dots))))). \end{aligned}$$

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e is neither rational (J-H. Lambert, 1766) nor quadratic irrational (J-L. Lagrange, 1770).

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Continued fraction expansion for $e^{1/a}$

Starting point : $y = \tanh(x/a)$ satisfies the differential equation $ay' + y^2 = 1$.

This leads Euler to

$$\begin{aligned} e^{1/a} &= [1 ; a - 1, 1, 1, 3a - 1, 1, 1, 5a - 1, \dots] \\ &= \overline{[1, (2m + 1)a - 1, 1]}_{m \geq 0}. \end{aligned}$$

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Geometric proof of the irrationality of e

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*A geometric proof that e is irrational
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Amer. Math. Monthly **113** (2006) 637-641.



Start with an interval I_1 with length 1. The interval I_n will be obtained by splitting the interval I_{n-1} into n intervals of the same length, so that the length of I_n will be $1/n!$.

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The origin of I_n will be

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Hence we start from the interval $I_1 = [2, 3]$. For $n \geq 2$, we construct I_n inductively as follows : split I_{n-1} into n intervals of the same length, and call the second one I_n :

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$$I_2 = \left[1 + \frac{1}{1!} + \frac{1}{2!}, 1 + \frac{1}{1!} + \frac{2}{2!} \right] = \left[\frac{5}{2!}, \frac{6}{2!} \right],$$

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Geometric proof of the irrationality of e

The origin of I_n will be

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Irrationality of e , following J. Sondow

The origin of I_n is

$$1 + \frac{1}{1!} + \frac{1}{2!} + \cdots + \frac{1}{n!} = \frac{a_n}{n!},$$

the length is $1/n!$, hence $I_n = [a_n/n!, (a_n + 1)/n!]$.

The number e is the intersection point of all these intervals, hence it is inside each I_n , therefore it cannot be written $a/n!$ with a an integer.

Since

$$\frac{p}{q} = \frac{(q-1)!p}{q!},$$

we deduce that the number e is irrational.

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Irrationality measure for e , following J. Sondow

For any integer $n > 1$,

$$\frac{1}{(n+1)!} < \min_{m \in \mathbf{Z}} \left| e - \frac{m}{n!} \right| < \frac{1}{n!}.$$

Smarandache function : $S(q)$ is the least positive integer such that $S(q)!$ is a multiple of q :

$S(1) = 1, S(2) = 2, S(3) = 3, S(4) = 4, S(5) = 5, S(6) = 3 \dots$

$S(p) = p$ for p prime. Also $S(n!) = n$.

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Joseph Fourier



Course of analysis at the École Polytechnique Paris, 1815.

Irrationality of e , following J. Fourier

$$e = \sum_{n=0}^N \frac{1}{n!} + \sum_{m \geq N+1} \frac{1}{m!}.$$

Multiply by $N!$ and set

$$B_N = N!, \quad A_N = \sum_{n=0}^N \frac{N!}{n!}, \quad R_N = \sum_{m \geq N+1} \frac{N!}{m!},$$

so that $B_N e = A_N + R_N$. Then A_N and B_N are in \mathbb{Z} , $R_N > 0$ and

$$R_N = \frac{1}{N+1} + \frac{1}{(N+1)(N+2)} + \cdots < \frac{e}{N+1}.$$

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In the formula

$$B_N e - A_N = R_N,$$

the numbers A_N and $B_N = N!$ are integers, while the right hand side is > 0 and tends to 0 when N tends to infinity.

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Irrationality criterion

Let x be a real number. The following conditions are equivalent.

(i) x is irrational.

(ii) For any $\epsilon > 0$, there exists $p/q \in \mathbf{Q}$ such that

$$0 < \left| x - \frac{p}{q} \right| < \frac{\epsilon}{q}.$$

(iii) For any real number $Q > 1$, there exists an integer q in the interval $1 \leq q < Q$ and there exists an integer p such that

$$0 < \left| x - \frac{p}{q} \right| < \frac{1}{qQ}.$$

(iv) There exist infinitely many $p/q \in \mathbf{Q}$ satisfying

$$0 < \left| x - \frac{p}{q} \right| < \frac{1}{\sqrt{5}q^2}.$$

The number e is not quadratic

Since e is irrational, the same is true for $e^{1/b}$ when b is a positive integer. That e^2 is irrational is a stronger statement.

Recall (Euler, 1737) : $e = [2; 1, 2, 1, 1, 4, 1, 1, 6, 1, 1, 8, \dots]$ which is not a periodic expansion. J.L. Lagrange (1770) : it follows that e is not a quadratic number.

Assume $ae^2 + be + c = 0$. Then

$$\begin{aligned} cN! + \sum_{n=0}^N (2^n a + b) \frac{N!}{n!} \\ = - \sum_{k \geq 0} (2^{N+1+k} a + b) \frac{N!}{(N+1+k)!} \end{aligned}$$

The left hand side is an integer, the right hand side tends to infinity. **It does not work!**

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e is not a quadratic irrationality (Liouville, 1840)

Write the quadratic equation as $ae + b + ce^{-1} = 0$.

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It does not seem that this kind of argument will suffice to prove the irrationality of e^3 , even less to prove that the number e is not a cubic irrational.

Fourier's argument rests on truncating the exponential series, it amounts to approximate e by $a/N!$ where $a \in \mathbb{Z}$. Better rational approximations exist, involving other denominators than $N!$.

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approximate the exponential function e^z
by rational fractions $A(z)/B(z)$.



For proving the irrationality of e^a ,
(a an integer ≥ 2), approximate
 e^a par $A(a)/B(a)$.

If the function $B(z)e^z - A(z)$ has a zero of high multiplicity
at the origin, then this function has a small modulus near
0, hence at $z = a$. Therefore $|B(a)e^a - A(a)|$ is small.

Charles Hermite

A rational function $A(z)/B(z)$ is *close* to a complex analytic function f if $B(z)f(z) - A(z)$ has a zero of high multiplicity at the origin.

Goal : find $B \in \mathbb{C}[z]$ such that the Taylor expansion at the origin of $B(z)f(z)$ has a big gap : $A(z)$ will be the part of the expansion before the gap, $R(z) = B(z)f(z) - A(z)$ the remainder.

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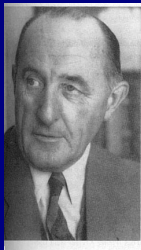
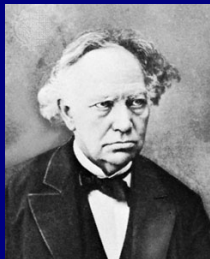
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Irrationality of e^r and π

Charles Hermite (1873)

Carl Ludwig Siegel (1929, 1949)

Yuri Nesterenko (2005)



Irrationality of e^r and π

We wish to prove the irrationality of e^a for a a positive integer.

Goal : write $B_n(z)e^z = A_n(z) + R_n(z)$ with A_n and B_n in $\mathbb{Z}[z]$ and $R_n(a) \neq 0$, $\lim_{n \rightarrow \infty} R_n(a) = 0$.

Substitute $z = a$, set $q = B_n(a)$, $p = A_n(a)$ and get

$$0 < |qe^a - p| < \epsilon.$$

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Rational approximation to \exp

Given $n_0 \geq 0$, $n_1 \geq 0$, find A and B in $\mathbf{R}[z]$ of degrees $\leq n_0$ and $\leq n_1$ such that $R(z) = B(z)e^z - A(z)$ has a zero at the origin of multiplicity $\geq N + 1$ with $N = n_0 + n_1$.

Theorem *There is a non-trivial solution, it is unique with B monic. Further, B is in $\mathbf{Z}[z]$ and $(n_0!/n_1!)A$ is in $\mathbf{Z}[z]$. Furthermore A has degree n_0 , B has degree n_1 and R has multiplicity exactly $N + 1$ at the origin.*

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$$B(z)e^z = A(z) + R(z)$$

Proof. Unicity of R , hence of A and B .

Let $D = d/dz$. Since A has degree $\leq n_0$,

$$D^{n_0+1}R = D^{n_0+1}(B(z)e^z)$$

is the product of e^z with a polynomial of the same degree as the degree of B and same leading coefficient.

Since $D^{n_0+1}R(z)$ has a zero of multiplicity $\geq n_1$ at the origin, $D^{n_0+1}R = z^{n_1}e^z$. Hence R is the unique function satisfying $D^{n_0+1}R = z^{n_1}e^z$ with a zero of multiplicity $\geq n_0$ at 0 and B has degree n_1 .

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The irrationality of e^r for $r \in \mathbf{Q}^\times$, is equivalent to the irrationality of $\log s$ for $s \in \mathbf{Q}_{>0}$.

The same argument gives the irrationality of $\log(-1)$, meaning $\log(-1) = i\pi \notin \mathbf{Q}(i)$.

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Simultaneous approximation and transcendence

Irrationality proofs involve rational approximation to a single real number θ .

We wish to prove transcendence results.

A complex number θ is transcendental if and only if the numbers

$$1, \theta, \theta^2, \dots, \theta^m, \dots$$

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Simultaneous approximation to the exponential function

Irrationality results follow from rational approximations $A/B \in \mathbf{Q}(x)$ to the exponential function e^x .

One of Hermite's ideas is to consider *simultaneous rational approximations to the exponential function*, in analogy with Diophantine approximation.

Let B_0, B_1, \dots, B_m be polynomials in $\mathbf{Z}[x]$. For $1 \leq k \leq m$ define

$$R_k(x) = B_0(x)e^{kx} - B_k(x).$$

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For any non-zero complex number z , one at least of the two numbers z and e^z is transcendental.

Hermite (1873) : transcendence of e .

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Corollaries : transcendence of $\log \alpha$ and of e^β for α and β non-zero algebraic complex numbers, with $\log \alpha \neq 0$.

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Hermite : approximation to the functions

$1, e^{\alpha_1 x}, \dots, e^{\alpha_m x}$

Let $\alpha_1, \dots, \alpha_m$ be pairwise distinct complex numbers and n_0, \dots, n_m be rational integers, all ≥ 0 . Set $N = n_0 + \dots + n_m$.

Hermite constructs explicitly polynomials B_0, B_1, \dots, B_m with B_j of degree $N - n_j$ such that each of the functions

$$B_0(z)e^{\alpha_k z} - B_k(z), \quad (1 \leq k \leq m)$$

has a zero at the origin of multiplicity at least N .

Approximants de Padé

Henri Eugène Padé (1863 - 1953)

Approximation of complex
analytic functions by
rational functions.



Transcendental functions

A complex function is called transcendental if it is transcendental over the field $\mathbf{C}(z)$, which means that the functions z and $f(z)$ are algebraically independent : if $P \in \mathbf{C}[X, Y]$ is a non-zero polynomial, then the function $P(z, f(z))$ is not 0.

Exercise. An entire function (analytic in \mathbf{C}) is transcendental if and only if it is not a polynomial.

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Transcendental functions

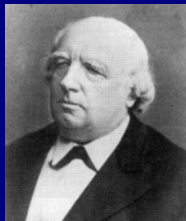
A complex function is called transcendental if it is transcendental over the field $\mathbf{C}(z)$, which means that the functions z and $f(z)$ are algebraically independent : if $P \in \mathbf{C}[X, Y]$ is a non-zero polynomial, then the function $P(z, f(z))$ is not 0.

Exercise. *An entire function (analytic in \mathbf{C}) is transcendental if and only if it is not a polynomial.*

Example. *The transcendental entire function e^z takes an algebraic value at an algebraic argument z only for $z = 0$.*

Weierstrass question

Is it true that a transcendental entire function f takes usually transcendental values at algebraic arguments ?



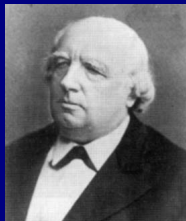
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If S is a countable subset of \mathbb{C} and T is a dense subset of \mathbb{C} , there exist transcendental entire functions f mapping S into T , as well as all its derivatives.

Also there are transcendental entire functions f such that $D^k f(\alpha) \in \mathbb{Q}(\alpha)$ for all $k \geq 0$ and all algebraic α .

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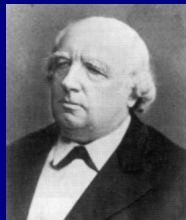
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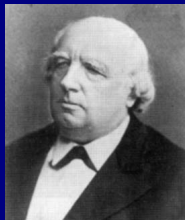
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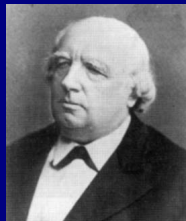
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Integer valued entire functions

An integer valued entire function is a function f , which is analytic in \mathbf{C} , and maps \mathbf{N} into \mathbf{Z} .

Example : 2^z is an integer valued entire function, not a polynomial.

Question : Are there integer valued entire function growing slower than 2^z without being a polynomial ?

Let f be a transcendental entire function in \mathbf{C} . For $R > 0$ set

$$|f|_R = \sup_{|z|=R} |f(z)|.$$

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G. Pólya (1914) :
if f is not a polynomial
and $f(n) \in \mathbf{Z}$ for $n \in \mathbf{Z}_{\geq 0}$, then
$$\limsup_{R \rightarrow \infty} 2^{-R} |f|_R \geq 1.$$



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Arithmetic functions

Pólya's proof starts by expanding the function f into a *Newton interpolation series* at the points $0, 1, 2, \dots$:

$$f(z) = a_0 + a_1z + a_2z(z-1) + a_3z(z-1)(z-2) + \dots$$

Since $f(n)$ is an integer for all $n \geq 0$, the coefficients a_n are rational and one can bound the denominators. If f does not grow fast, one deduces that these coefficients vanish for sufficiently large n .

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Newton interpolation series

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$$f(z) = f(\alpha_1) + (z - \alpha_1)f_1(z), \quad f_1(z) = f_1(\alpha_2) + (z - \alpha_2)f_2(z), \dots$$

we deduce

$$f(z) = a_0 + a_1(z - \alpha_1) + a_2(z - \alpha_1)(z - \alpha_2) + \dots$$

with

$$a_0 = f(\alpha_1), \quad a_1 = f_1(\alpha_2), \dots, \quad a_n = f_n(\alpha_{n+1}).$$

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An identity due to Ch. Hermite

$$\frac{1}{x-z} = \frac{1}{x-\alpha} + \frac{z-\alpha}{x-\alpha} \cdot \frac{1}{x-z}.$$

Repeat :

$$\frac{1}{x-z} = \frac{1}{x-\alpha_1} + \frac{z-\alpha_1}{x-\alpha_1} \cdot \left(\frac{1}{x-\alpha_2} + \frac{z-\alpha_2}{x-\alpha_2} \cdot \frac{1}{x-z} \right).$$

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An identity due to Ch. Hermite

Inductively we deduce the next formula due to Hermite :

$$\frac{1}{x-z} = \sum_{j=0}^{n-1} \frac{(z-\alpha_1)(z-\alpha_2)\cdots(z-\alpha_j)}{(x-\alpha_1)(x-\alpha_2)\cdots(x-\alpha_{j+1})} + \frac{(z-\alpha_1)(z-\alpha_2)\cdots(z-\alpha_n)}{(x-\alpha_1)(x-\alpha_2)\cdots(x-\alpha_n)} \cdot \frac{1}{x-z}.$$

Newton interpolation expansion

Application. Multiply by $(1/2i\pi)f(z)$ and integrate :

$$f(z) = \sum_{j=0}^{n-1} a_j (z - \alpha_1) \cdots (z - \alpha_j) + R_n(z)$$

with

$$a_j = \frac{1}{2i\pi} \int_{\mathcal{C}} \frac{F(x)dx}{(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_{j+1})} \quad (0 \leq j \leq n - 1)$$

and

$$R_n(z) = (z - \alpha_1)(z - \alpha_2) \cdots (z - \alpha_n) \cdot \frac{1}{2i\pi} \int_{\mathcal{C}} \frac{F(x)dx}{(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n)(x - z)}$$

Integer valued entire function on $\mathbf{Z}[i]$

A.O. Gel'fond (1929) : growth of entire functions mapping the Gaussian integers into themselves.

Newton interpolation series at the points in $\mathbf{Z}[i]$.

An entire function f which is not a polynomial and satisfies $f(a + ib) \in \mathbf{Z}[i]$ for all $a + ib \in \mathbf{Z}[i]$ satisfies

$$\limsup_{R \rightarrow \infty} \frac{1}{R^2} \log |f|_R \geq \gamma.$$

F. Gramain (1981) : $\gamma = \pi/(2e)$.

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Transcendence of e^π



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If

$$e^\pi = 23,140\,692\,632\,779\,269\,005\,729\,086\,367 \dots$$

is rational, then the function $e^{\pi z}$ takes values in $\mathbb{Q}(i)$ when the argument z is in $\mathbb{Z}[i]$.

Expand $e^{\pi z}$ into an interpolation series at the Gaussian integers.

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Hilbert's seventh problem

A.O. Gel'fond and Th. Schneider (1934).

Solution of Hilbert's seventh problem :

transcendence of α^β

and of $(\log \alpha_1)/(\log \alpha_2)$

for algebraic α , β , α_1 and α_2 .



Dirichlet's box principle

Gel'fond and Schneider use an *auxiliary function*, the existence of which follows from Dirichlet's box principle (pigeonhole principle, Thue-Siegel Lemma).



Auxiliary functions

C.L. Siegel (1929) :
Hermite's explicit formulae
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Slope inequalities in Arakelov theory

J-B. Bost (1994) :

matrices and determinants require choices of bases.

Arakelov's Theory produces *slope inequalities* which avoid the need of bases.



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Rational interpolation

René Lagrange (1935).

$$\frac{1}{x-z} = \frac{\alpha - \beta}{(x - \alpha)(x - \beta)} + \frac{x - \beta}{x - \alpha} \cdot \frac{z - \alpha}{z - \beta} \cdot \frac{1}{x - z}.$$

Iterating and integrating yield

$$f(z) = \sum_{n=0}^{N-1} B_n \frac{(z - \alpha_1) \cdots (z - \alpha_n)}{(z - \beta_1) \cdots (z - \beta_n)} + \check{R}_N(z).$$

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Hurwitz zeta function

T. Rivoal (2006) : consider Hurwitz zeta function

$$\zeta(s, z) = \sum_{k=1}^{\infty} \frac{1}{(k+z)^s}.$$

Expand $\zeta(2, z)$ as a series in

$$\frac{z^2(z-1)^2 \cdots (z-n+1)^2}{(z+1)^2 \cdots (z+n)^2}.$$

The coefficients of the expansion belong to $\mathbb{Q} + \mathbb{Q}\zeta(3)$.
This produces a new proof of Apéry's Theorem on the irrationality of $\zeta(3)$.

In the same way : new proof of the irrationality of $\log 2$ by expanding

$$\sum_{k=1}^{\infty} \frac{(-1)^k}{k+z}.$$

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Irrationality of $\zeta(3)$, following Apéry (1978)

There exist two sequences of rational numbers $(a_n)_{n \geq 0}$ and $(b_n)_{n \geq 0}$ such that $a_n \in \mathbf{Z}$ and $d_n^3 b_n \in \mathbf{Z}$ for all $n \geq 0$ and

$$\lim_{n \rightarrow \infty} |2a_n \zeta(3) - b_n|^{1/n} = (\sqrt{2} - 1)^4,$$

where d_n is the lcm of $1, 2, \dots, n$.

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Mixing C. Hermite and R. Lagrange

T. Rivoal (2006) : new proof of the irrationality of $\zeta(2)$ by expanding

$$\sum_{k=1}^{\infty} \left(\frac{1}{k} - \frac{1}{k+z} \right)$$

as a Hermite–Lagrange series in

$$\frac{(z(z-1)\cdots(z-n+1))^2}{(z+1)\cdots(z+n)}.$$

Taylor series and interpolation series

Taylor series are the special case of Hermite's formula with a single point and multiplicities — they give rise to Padé approximants.

Multiplicities can also be introduced in René Lagrange interpolation.

There is another duality between the methods of Gel'fond and Schneider : Fourier-Borel transform.

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Further developments

Transcendence and algebraic independence of values of modular functions (*méthode stéphanoise* and work of Yu.V. Nesterenko).

Measures : transcendence, linear independence, algebraic independence. . .

Finite characteristic :

Federico Pellarin - *Aspects de l'indépendance algébrique en caractéristique non nulle [d'après Anderson, Brownawell, Denis, Papanikolas, Thakur, Yu, . . .]*

Séminaire Nicolas Bourbaki, Dimanche 18 mars 2007.

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Shanghai High School SHS, December 4, 2007

History of irrational and transcendental numbers

Michel Waldschmidt

<http://www.math.jussieu.fr/~miw/>